

# Improving CPS-Based Partial Evaluation: Writing Cogen by Hand

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## Abstract

It is well-known that self-applicable partial evaluation can be used to generate compiler generators: *cogen* = *mix*(*mix*, *mix*), where *mix* is the specializer (partial evaluator). However, writing *cogen* by hand gives several advantages: (1) Contrasting to when writing a self-applicable *mix*, one is not restricted to write *cogen* in the same language as it treats [HL91]. (2) A handwritten *cogen* can be more efficient than a *cogen* generated by self-application; in particular, a handwritten *cogen* typically performs no (time consuming) environment manipulations whereas one generated by self-application does. (3) When working in statically typed languages with user defined data types, the self-application approach requires *encoding* data type values [Bon88, Lau91, DNBV91], resulting in relatively inefficient (*cogen*-generated) compilers that spend much of their time on coding and decoding. By writing *cogen* by hand, the coding problem is eliminated [HL91, BW93].

Specializers written in *continuation passing style* (abbreviated “cps”) perform better than specializers written in direct style (abbreviated “ds”) [Bon92]. For example, a specializer written in cps straightforwardly handles non-unfoldable let-expressions with static body.

The contribution of this paper is to combine the idea of hand-writing *cogen* with cps-based specialization. We develop a handwritten cps-*cogen* which is superior to a ds-*cogen* for the same reason that a cps-specializer is superior to a ds-specializer: the cps-*cogen* can for example handle non-unfoldable let-expressions with static body. Hand-writing a cps-*cogen* is done along the same lines as hand-writing a ds-*cogen*, but some additional non-standard two-level  $\eta$ -expansions turn out to be needed.

The handwritten cps-*cogen* presented here is efficient in that it performs continuation processing ( $\beta$ -reductions of continuation applications) already at compiler-generation time. Only some continuation processing can be done at

compiler generation time, however, so the resulting programs generated by *cogen* also contain continuations.

We prove our handwritten cps-*cogen* correct with respect to a cps-specializer. We also give a correctness proof of a handwritten ds-*cogen*; this proof is much simpler than the cps-proof, but to the best of our knowledge, no handwritten ds-*cogen* has been proved correct before.

## 1 Introduction

Cps-based specializers are more powerful than ds-based specializers. For example, a cps-specializer straightforwardly specializes  $((\text{let } y = \dots \text{ in } \lambda x. x + x + y) 7)$  into  $(\text{let } y = \dots \text{ in } 14 + y)$  when the let-expression is non-unfoldable. The cps-specializer is able to do so because it explicitly manipulates a context: a cps-specializer is able to move the context “apply to 7” across the let-binding into the let-body.

In this paper we show how to hand-write a cps-based *cogen*. We derive the handwritten cps-*cogen* from a (handwritten) cps-specializer. However, to make it easier to follow the derivation, we first show how to derive (and prove correctness of) a handwritten ds-*cogen*  $\mathcal{C}_d$  from a (handwritten) ds-specializer  $\mathcal{S}_d$ : the ds-based *cogen* is much simpler to derive than the cps-based *cogen*. Then we derive and prove correctness of the handwritten cps-*cogen*  $\mathcal{C}_{cp}$  from a (handwritten) cps-specializer  $\mathcal{S}_{cp}$ . See the horizontal arrows in Figure 1.

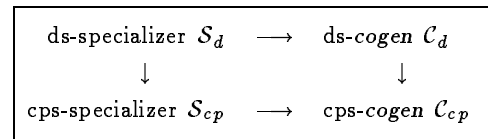


Figure 1: Overview

The cps-specializer  $\mathcal{S}_{cp}$  can be derived from the ds-specializer  $\mathcal{S}_d$  (the leftmost vertical arrow in Figure 1) [Bon92]. We shall derive the cps-*cogen*  $\mathcal{C}_{cp}$  from the cps-specializer  $\mathcal{S}_{cp}$ . In Section 4 we briefly discuss how to derive  $\mathcal{C}_{cp}$  from  $\mathcal{C}_d$  instead (rightmost vertical arrow); this derivation is relevant if one is to hand-write a cps-*cogen* for a language where a handwritten ds-*cogen* already exists.

We shall consider specialization similar to the one of *Lambda-mix* [GJ91]. In this paper we only consider a source language consisting of the *strict* (call-by-value) weak-head normal form pure lambda calculus (variables,  $\lambda$ -abstraction and application) extended with a let-construct, see Figure 2.

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We include the `let`-construct in the source language to cover a form that cps-based specialization treats better than ds-specialization does [Bon92].

$$\begin{array}{l} \text{Variable} = \text{String}; \quad e \in \text{Expression}; \quad v \in \text{Variable} \\ e ::= \text{Var } v \mid \text{Lam } v \ e_1 \mid \text{App } e_1 \ e_2 \mid \text{Let } v \ e_1 \ e_2 \end{array}$$

Figure 2: Abstract syntax of source language

In an extended version of the paper, we will also cover the remaining constructs from Lambda-mix (constants, conditionals and `fix`), as well as primitive operations and operations on tuples. Conditionals are interesting as a cps-specializer, contrasting to a ds-specializer, is able to handle conditionals with dynamic test but static branches [Bon92]. Operations on tuples are interesting as they illustrate the coding problem that arises when writing a specializer `mix`, but not when hand-writing `cogen`. Tuples are as easy to handle in a handwritten cps-`cogen` as in a handwritten ds-`cogen`: no particular problems with tuples arise due to cps.

When hand-writing `cogen`, we shall need some abstract syntax constructors in addition to `Var`, `Lam`, `App` and `Let`. These additional constructors are `Var $\diamond$` , `Fresh`, `Lam $\diamond$` , `App $\diamond$`  and `Let $\diamond$` . The semantics of the source language, extended with these additional forms, is given in Figure 3. The meta-language used in this paper is strict:  $\lambda$ - and `let`-forms are thus strict as well as environment updates  $\rho[\dots \mapsto \dots]$ . Notice that `fresh()` generates a fresh variable name (a string) and that the forms `Lam $\diamond$` , `App $\diamond$`  and `Let $\diamond$`  are used to generate expressions rather than values as `Lam`, `App` and `Let` do.

$$\begin{array}{l} \mathcal{E} : \text{Expression} \times (\text{Variable} \rightarrow \text{Value}) \rightarrow \text{Value} \\ \mathcal{E}[\text{Var } v]\rho = \rho \ v \\ \mathcal{E}[\text{Lam } v \ e_1]\rho = \lambda w. \mathcal{E}[\![e_1]\!]\rho[v \mapsto w] \\ \mathcal{E}[\text{App } e_1 \ e_2]\rho = (\mathcal{E}[\![e_1]\!]\rho)(\mathcal{E}[\![e_2]\!]\rho) \\ \mathcal{E}[\text{Let } v \ e_1 \ e_2]\rho = \mathcal{E}[\![e_2]\!]\rho[v \mapsto \mathcal{E}[\![e_1]\!]\rho] \\ \mathcal{E}[\text{Var}\diamond v]\rho = \text{Var}(\rho \ v) \\ \mathcal{E}[\text{Fresh}]\rho = \text{fresh}() \\ \mathcal{E}[\text{Lam}\diamond e_1 \ e_2]\rho = \text{Lam}(\mathcal{E}[\![e_1]\!]\rho)(\mathcal{E}[\![e_2]\!]\rho) \\ \mathcal{E}[\text{App}\diamond e_1 \ e_2]\rho = \text{App}(\mathcal{E}[\![e_1]\!]\rho)(\mathcal{E}[\![e_2]\!]\rho) \\ \mathcal{E}[\text{Let}\diamond e_1 \ e_2 \ e_3]\rho = \text{Let}(\mathcal{E}[\![e_1]\!]\rho)(\mathcal{E}[\![e_2]\!]\rho)(\mathcal{E}[\![e_3]\!]\rho) \end{array}$$

Figure 3: Semantics of extended source language

Programs to be partially evaluated will be annotated and written in a *two-level* language [NN88, GJ91]. The two-level language is specified in Figure 4. Each of the compound forms now exist in two versions, a static version (e.g. `Lam`  $v \ t_1$ ) and a dynamic version (e.g. `Lam $\diamond$`   $v \ t_1$ ). The static versions will be reduced at partial evaluation time, and code will be emitted for the dynamic versions.

It turns out to be helpful for cps-based specialization that all source expression variables have distinct names. In the rest of this paper, variable  $t$  therefore only ranges over two-level expressions where all variables names are different (variables names can always be made distinct by  $\alpha$ -conversion).

Only programs that are *well-annotated* may be specialized. Type rules for checking well-annotatedness are given

$$\begin{array}{l} t \in \text{2Expression}; \quad v \in \text{Variable} \\ t ::= \text{Var } v \mid \text{Lam } v \ t_1 \mid \text{App } t_1 \ t_2 \mid \text{Let } v \ t_1 \ t_2 \mid \\ \quad \underline{\text{Lam}} \ v \ t_1 \mid \underline{\text{App}} \ t_1 \ t_2 \mid \underline{\text{Let}} \ v \ t_1 \ t_2 \end{array}$$

Figure 4: Syntax of two-level language

in [GJ91] (not for the `let`-form, though, but it is simple to add). Annotating programs can be done automatically by *binding-time analysis*, see e.g. [Gom90, Hen91].

## 2 Direct style

Figure 5 specifies the ds-specializer  $\mathcal{S}_d$ . Specializer  $\mathcal{S}_d$  is a part of the Lambda-mix specializer T from Appendix A of the paper [GJ91], extended with (straightforward) rules for the static and dynamic `let`-forms. Notice that domain  $\text{2Value}$  is equal to domain  $\text{Value}$  since  $\text{Value}$  already includes the forms generated when evaluating the forms `Lam $\diamond$` , `App $\diamond$`  and `Let $\diamond$`  (Figure 3).

$$\begin{array}{l} \mathcal{S}_d : \text{2Expression} \times (\text{Variable} \rightarrow \text{2Value}) \rightarrow \text{2Value} \\ \mathcal{S}_d[\text{Var } v]\rho = \rho \ v \\ \mathcal{S}_d[\text{Lam } v \ t_1]\rho = \lambda w. \mathcal{S}_d[\![t_1]\!]\rho[v \mapsto w] \\ \mathcal{S}_d[\text{App } t_1 \ t_2]\rho = (\mathcal{S}_d[\![t_1]\!]\rho)(\mathcal{S}_d[\![t_2]\!]\rho) \\ \mathcal{S}_d[\text{Let } v \ t_1 \ t_2]\rho = \mathcal{S}_d[\![t_2]\!]\rho[v \mapsto \mathcal{S}_d[\![t_1]\!]\rho] \\ \mathcal{S}_d[\underline{\text{Lam}} \ v \ t_1]\rho = \text{let } n = \text{fresh}() \\ \quad \text{in Lam } n(\mathcal{S}_d[\![t_1]\!]\rho[v \mapsto \text{Var } n]) \\ \mathcal{S}_d[\underline{\text{App}} \ t_1 \ t_2]\rho = \text{App}(\mathcal{S}_d[\![t_1]\!]\rho)(\mathcal{S}_d[\![t_2]\!]\rho) \\ \mathcal{S}_d[\underline{\text{Let}} \ v \ t_1 \ t_2]\rho = \text{let } n = \text{fresh}() \\ \quad \text{in Let } n(\mathcal{S}_d[\![t_1]\!]\rho)(\mathcal{S}_d[\![t_2]\!]\rho[v \mapsto \text{Var } n]) \end{array}$$

Figure 5: Ds-specializer

Notice that ds-specializer  $\mathcal{S}_d$  cannot specialize forms such as  $t = \text{App}(\underline{\text{Let}} \ v_1 \dots (\underline{\text{Lam}} \ v_2 \dots))(\text{Var } v_3)$  as  $\mathcal{S}_d$  requires the body of a `Let`-form to specialize to an expression: the result of  $\mathcal{S}_d$ 's call  $\mathcal{S}_d[\![t_2]\!]\rho[v \mapsto \text{Var } n]$  must be an expression as it is an argument to the abstract syntax constructor `Let`. But  $\mathcal{S}_d$  specializes `Lam`  $v_2 \dots$  to a function  $\lambda w. \dots$ , not to an expression, so expression  $t$  is not well-annotated with respect to  $\mathcal{S}_d$ . To specialize the expression, the annotations should be  $\underline{\text{App}}(\underline{\text{Let}} \ v_1 \dots (\underline{\text{Lam}} \ v_2 \dots))(\text{Var } v_3)$  (as it also follows from the well-annotatedness rules of [GJ91]); being underlined, the application would consequently not be  $\beta$ -reduced by  $\mathcal{S}_d$  during specialization.

We now present a ds-`cogen`  $\mathcal{C}_d$  derived from the ds-specializer  $\mathcal{S}_d$ ; see Figure 6. Essentially, instead of *performing* what  $\mathcal{S}_d$  does, compiler generator  $\mathcal{C}_d$  *generates code* that will perform the same operations *when evaluated* (by  $\mathcal{E}$ ). For example, specializer  $\mathcal{S}_d$  performs an application when treating `App`-forms, but  $\mathcal{C}_d$  generates an `App`-expression which, when evaluated, performs an application. And, where  $\mathcal{S}_d$  generates an `App`-expression when treating `App`-forms, compiler generator  $\mathcal{C}_d$  generates an `App $\diamond$` -expression which, when evaluated, generates an `App`-expression.

Notice that  $\mathcal{C}_d$  takes no environment ( $\rho$ ) argument. Avoiding environment manipulation is possible by reusing source variable names in the treatments of `Lam`, `Let`, `Lam $\diamond$`

|  |
|--|
| $C_d : 2Expression \rightarrow Expression$<br>$C_d \llbracket Var v \rrbracket = Var v$<br>$C_d \llbracket Lam v t_1 \rrbracket = Lam v (C_d \llbracket t_1 \rrbracket)$<br>$C_d \llbracket App t_1 t_2 \rrbracket = App (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket)$<br>$C_d \llbracket Let v t_1 t_2 \rrbracket = Let v (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket)$<br>$C_d \llbracket \underline{Lam} v t_1 \rrbracket = Let m Fresh (Let v (Var \diamond m) (Lam \diamond (Var m) (C_d \llbracket t_1 \rrbracket)))$<br>$C_d \llbracket \underline{App} t_1 t_2 \rrbracket = App \diamond (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket)$<br>$C_d \llbracket \underline{Let} v t_1 t_2 \rrbracket = Let m Fresh (Let v (Var \diamond m) (Let \diamond (Var m) (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket)))$ |
|--|

Figure 6: Ds-cogen

and Let (notice e.g. how  $S_d$ 's Lam-rule  $\lambda w. S_d \llbracket t_1 \rrbracket \rho [v \mapsto w]$  turns into  $Lam v (C_d \llbracket t_1 \rrbracket)$  in  $C_d$ : source name  $v$  is used instead of  $w$  whereby the binding  $[v \mapsto w]$  can be ignored), but it is non-trivial to see that this does not lead to unexpected name clashes. The reason is briefly that  $C_d$  performs no symbolic unfolding and thus preserves the scoping structure of the source program. The handwritten compiler generators [HL91, BW93] did not manipulate environments either (but no correctness proofs were given there). Compiler generators generated by self-application do manipulate environments (see e.g. [GJ91]) and thus they are less efficient than the handwritten ones.

The following theorem states that the handwritten *cogen*  $C_d$  is indeed correct with respect to the specializer  $S_d$  (and in particular this also proves that the environment-free treatment of variables in  $C_d$  is correct). The theorem states that evaluating the code generated by  $C_d$  in environment  $\rho$  yields the same result as specializing by  $S_d$  (in environment  $\rho$ ):

THEOREM 1 (Correctness of ds-cogen)

$$\forall t, \rho : \mathcal{E} \llbracket C_d \llbracket t \rrbracket \rrbracket \rho = S_d \llbracket t \rrbracket \rho$$

PROOF: By structural induction over two-level expressions. See Appendix A.1 for details.  $\square$

### 3 Continuation passing style

Figure 7 contains a cps-specializer  $S_{cp}$ , derived from  $S_d$  by (non-standard) cps-transformation as described in [Bon92]; continuation  $\iota$  is the identity continuation  $\lambda x. x$ . The cps-specializer  $S_{cp}$  is more powerful than the ds-specializer  $S_d$ : it does not constrain the annotations of the body of Let-forms (the type rule for checking well-annotatedness for Let-forms is consequently more liberal for cps-based specialization than for ds-specialization). For example, specializer  $S_{cp}$  is able to specialize the form  $App (\underline{Let} v_1 \dots (Lam v_2 \dots)) (Var v_3)$ , hence  $\beta$ -reducing the application during specialization (contrasting to  $S_d$ , cf. Section 2).

Notice that the identity continuation  $\iota$  is used not only to initialize, but also when treating Lam-forms. This non-standard “impure” form of cps turns out to be necessary to allow the desired liberal treatment of Let-forms, propagating  $\kappa$  “over the let-binding”. The more pure cps-code  $let n=fresh() \text{ in } S_{cp} \llbracket t_1 \rrbracket \rho [v \mapsto n](\lambda x. \kappa (Lam n x))$  that one might have expected in the Lam-rule thus gives an *incorrect* result if the lambda-body  $t_1$  is a Let-form. Indeed, the let- and  $\lambda$ -bindings are reversed. In short, the problem is

that continuations that dump their argument in the body-position of a generated lambda-expression are not allowed to be propagated over the binding when specializing Let-forms; the continuation  $\lambda x. \kappa (Lam n x)$  is such a disallowed form. The code in Figure 7 does not contain any such “ill-behaved” continuations. We refer to [Bon92] for further details.

We are now ready to present the handwritten *cps-cogen*  $C_{cp}$ , see Figure 8. Compiler generator  $C_{cp}$  is derived in the same way from  $S_{cp}$  as  $C_d$  was derived from  $S_d$ : instead of *performing* what  $S_{cp}$  does,  $C_{cp}$  generates code that will perform the same operations when evaluated. Deriving the  $C_{cp}$ -rules for Lam and App involves some additional steps that have no analogue in the  $C_d$ -derivation; these steps will be described below. Notice that similarly to  $C_d$ , compiler generator  $C_{cp}$  performs no operations on environments, contrasting to what a compiler generator generated by self-application would do. Also notice that  $C_{cp}$  has a continuation argument: we want  $C_{cp}$  to perform continuation reductions already at *cogen*-time rather than suspending all continuation processing to appear in the programs generated by *cogen* (such a simpler *cps-cogen* can be written, but it is certainly less interesting).

We shall now explain why the Lam- and App-rules look the way they do. At a first try, we might optimistically have written the Lam- and App-rules in the following more “natural” way:

$$\begin{aligned} C_{cp} \llbracket Lam v t_1 \rrbracket \kappa &= \kappa (Lam v (C_{cp} \llbracket t_1 \rrbracket)) \\ C_{cp} \llbracket App t_1 t_2 \rrbracket \kappa &= C_{cp} \llbracket t_1 \rrbracket (\lambda x. C_{cp} \llbracket t_2 \rrbracket (\lambda y. App (App x y) \kappa)) \end{aligned}$$

Let us first consider the incorrect Lam-rule. Notice that  $C_{cp} \llbracket t_1 \rrbracket$  is a *function* (from continuations to expressions) whereas the second argument to constructor Lam must be an *expression* of type *Expression*. We can fix this problem by a special two-level  $\eta$ -expansion that converts a function to an expression (a  $\lambda$ -form into a Lam-form):  $f \mapsto Lam n (f (Var n))$  where  $n$  is fresh to avoid name shadowing. Instead of  $C_{cp} \llbracket t_1 \rrbracket$ , we would thus write  $Lam n (C_{cp} \llbracket t_1 \rrbracket (Var n))$ . But now there is a problem with the expression  $C_{cp} \llbracket t_1 \rrbracket (Var n)$  as  $C_{cp}$ 's second argument must be a function (a continuation), not an expression such as  $Var n$ . We therefore perform another kind of two-level  $\eta$ -expansion, this time converting an expression into a function:  $e \mapsto \lambda x. App e x$ . We then obtain  $C_{cp} \llbracket t_1 \rrbracket (\lambda x. App (Var n) x)$ . The Lam-rule of Figure 8 has now emerged.

In a similar way, the App-rule of Figure 8 is obtained from the incorrect one by  $\eta$ -expanding  $\kappa$  in the incorrect expression  $App (App x y) \kappa$  into  $Lam n (\kappa (Var n))$ ; App's second argument must be an expression, not a function.

$$\begin{aligned}
& \mathcal{S}_{cp} : 2\text{Expression} \times (\text{Variable} \rightarrow 2\text{Value}) \times (2\text{Value} \rightarrow 2\text{Value}) \rightarrow 2\text{Value} \\
& \mathcal{S}_{cp}[\text{Var } v]\rho\kappa = \kappa(\rho v) \\
& \mathcal{S}_{cp}[\text{Lam } v \ t_1]\rho\kappa = \kappa(\lambda w. \mathcal{S}_{cp}[\ t_1 ]\rho[v \mapsto w]) \\
& \mathcal{S}_{cp}[\text{App } t_1 \ t_2]\rho\kappa = \mathcal{S}_{cp}[\ t_1 ]\rho(\lambda x. \mathcal{S}_{cp}[\ t_2 ]\rho(\lambda y. (x \ y) \ \kappa)) \\
& \mathcal{S}_{cp}[\text{Let } v \ t_1 \ t_2]\rho\kappa = \mathcal{S}_{cp}[\ t_1 ]\rho(\lambda x. \mathcal{S}_{cp}[\ t_2 ]\rho[v \mapsto x]\kappa) \\
& \mathcal{S}_{cp}[\underline{\text{Lam}} \ v \ t_1]\rho\kappa = \kappa(\text{let } n = \text{fresh}() \text{ in Lam } n(\mathcal{S}_{cp}[\ t_1 ]\rho[v \mapsto \text{Var } n]\iota)) \\
& \mathcal{S}_{cp}[\underline{\text{App}} \ t_1 \ t_2]\rho\kappa = \mathcal{S}_{cp}[\ t_1 ]\rho(\lambda x. \mathcal{S}_{cp}[\ t_2 ]\rho(\lambda y. \kappa(\text{App } x \ y))) \\
& \mathcal{S}_{cp}[\underline{\text{Let}} \ v \ t_1 \ t_2]\rho\kappa = \mathcal{S}_{cp}[\ t_1 ]\rho(\lambda x. \text{let } n = \text{fresh}() \text{ in Let } n \ x(\mathcal{S}_{cp}[\ t_2 ]\rho[v \mapsto \text{Var } n]\kappa))
\end{aligned}$$

Figure 7: Cps-specializer

$$\begin{aligned}
& \mathcal{C}_{cp} : 2\text{Expression} \times (\text{Expression} \rightarrow \text{Expression}) \rightarrow \text{Expression} \\
& \mathcal{C}_{cp}[\text{Var } v]\kappa = \kappa(\text{Var } v) \\
& \mathcal{C}_{cp}[\text{Lam } v \ t_1]\kappa = \kappa(\text{Lam } v(\text{let } n = \text{fresh}() \text{ in Lam } n(\mathcal{C}_{cp}[\ t_1 ](\lambda x. \text{App}(\text{Var } n) \ x)))) \\
& \mathcal{C}_{cp}[\text{App } t_1 \ t_2]\kappa = \mathcal{C}_{cp}[\ t_1 ](\lambda x. \mathcal{C}_{cp}[\ t_2 ](\lambda y. \text{App}(\text{App } x \ y)(\text{let } n = \text{fresh}() \text{ in Lam } n(\kappa(\text{Var } n)))))) \\
& \mathcal{C}_{cp}[\text{Let } v \ t_1 \ t_2]\kappa = \mathcal{C}_{cp}[\ t_1 ](\lambda x. \text{Let } v \ x(\mathcal{C}_{cp}[\ t_2 ]\kappa)) \\
& \mathcal{C}_{cp}[\underline{\text{Lam}} \ v \ t_1]\kappa = \kappa(\text{Let } m \ \text{Fresh}(\text{Let } v(\text{Var } \diamond m)(\text{Lam } \diamond(\text{Var } m)(\mathcal{C}_{cp}[\ t_1 ]\iota))) \\
& \mathcal{C}_{cp}[\underline{\text{App}} \ t_1 \ t_2]\kappa = \mathcal{C}_{cp}[\ t_1 ](\lambda x. \mathcal{C}_{cp}[\ t_2 ](\lambda y. \kappa(\text{App } \diamond x \ y))) \\
& \mathcal{C}_{cp}[\underline{\text{Let}} \ v \ t_1 \ t_2]\kappa = \mathcal{C}_{cp}[\ t_1 ](\lambda x. \text{Let } m \ \text{Fresh}(\text{Let } v(\text{Var } \diamond m)(\text{Let } \diamond(\text{Var } m) \ x(\mathcal{C}_{cp}[\ t_2 ]\kappa))))
\end{aligned}$$

Figure 8: Cps-cogen

The  $\eta$ -expansions used here resemble the  $\eta$ -conversions used in [DF92] to separate “administrative” from “non-administrative” continuations in cps-transformation. Also, similar  $\eta$ -conversions were used for *binding-time improvements* in [Bon91].

We note that expression  $\text{Lam } n(\kappa(\text{Var } n))$  in the  $\text{App}$ -rule generates continuations that are present in the programs generated by  $\mathcal{C}_{cp}$ . Thus, even though  $\mathcal{C}_{cp}$  performs continuation processing ( $\beta$ -reductions), it also generates code that still contains (some) continuation processing. This is again analogue to the distinction between “administrative” and “non-administrative” continuations in cps-transformations: only administrative continuations can be  $\beta$ -reduced during cps-transformation.

To prove correctness of  $\mathcal{C}_{cp}$  with respect to  $\mathcal{S}_{cp}$ , we must prove the following: for all  $t$  and  $\rho$ , it holds that  $\mathcal{E}[\mathcal{C}_{cp}[\ t ]\iota]\rho = \mathcal{S}_{cp}[\ t ]\rho\iota$ . That is, evaluating the expression generated by  $\mathcal{C}_{cp}$  in some environment  $\rho$  gives the same result as specializing  $t$  in the same environment. Both  $\mathcal{C}_{cp}$  and  $\mathcal{S}_{cp}$  are initially called with the identity continuation  $\iota$ . To prove this equality inductively, we need a more general theorem that holds not only when the continuations are  $\iota$ . Can we hope to simply replace  $\iota$  by  $\kappa$  and then expect that the equality holds for all  $\kappa$ ? The answer is unfortunately “no”. The reason is simple: the type of  $\mathcal{S}_{cp}$ ’s continuation parameter is  $2\text{Value} \rightarrow 2\text{Value}$  whereas the type of  $\mathcal{C}_{cp}$ ’s continuation parameter is  $\text{Expression} \rightarrow \text{Expression}$ . However, given a  $\mathcal{C}_{cp}$ -type continuation  $\kappa$ , we can construct a  $\mathcal{S}_{cp}$ -type continuation:  $\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\kappa(\text{Var } m)]\rho[m \mapsto a]$ . The idea here is to evaluate the expression generated by applying  $\kappa$  to an argument, taking care not to evaluate  $a$  which already is a  $2\text{Value}$  (this is the reason why the continuation is *not* simply  $\lambda a. \mathcal{E}[\kappa \ a]\rho$ ). This leads to the following correctness theorem.

THEOREM 2 (Correctness of cps-cogen)

$$\forall t, \rho, \kappa : \mathcal{E}[\mathcal{C}_{cp}[\ t ]\kappa]\rho = \mathcal{S}_{cp}[\ t ]\rho(\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\kappa(\text{Var } m)]\rho[m \mapsto a])$$

PROOF: By structural induction over two-level expressions. See Appendix A.2 for details.  $\square$

In this theorem, as well as in Appendix A.2, we implicitly assume some restrictions on  $\kappa$  when quantifying by  $\forall t, \dots, \kappa \dots$ : continuation  $\kappa$  must be related to two-level expression  $t$  in the sense that  $\kappa$  only ranges over those continuations that are generated when computing  $\mathcal{C}_{cp}[\ t ]\iota$  where  $t$  is a subexpression of  $t_1$ . That is, we only consider the *relevant* continuations, not all continuations. Notice that the identity continuation  $\iota$  is a relevant continuation (possible value for  $\kappa$ ).

The desired correctness property now follows as a corollary:

COROLLARY 3 (Correctness of cps-cogen)

$$\forall t, \rho : \mathcal{E}[\mathcal{C}_{cp}[\ t ]\iota]\rho = \mathcal{S}_{cp}[\ t ]\rho\iota$$

PROOF: Follows from Theorem 2 since

$$\begin{aligned}
& \lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\iota(\text{Var } m)]\rho[m \mapsto a] \stackrel{\beta}{=} \\
& \lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\text{Var } m]\rho[m \mapsto a] \stackrel{\mathcal{E}}{=} \\
& \lambda a. \text{let } m = \text{fresh}() \text{ in } a \stackrel{\text{Lemma 8}}{=} \lambda a. a = \iota \quad \square
\end{aligned}$$

(Lemma 8 can be found in Appendix A.2.) In the proof of Theorem 2, a number of lemmas are used; these are found in Appendix A.2. It is worth noticing that the lemmas only hold when  $t$  and  $\kappa$  are restricted as described earlier: all variable names in  $t$  must be distinct ( $\alpha$ -conversion, cf. Section 1), and  $\kappa$  must be relevant.

## 4 Deriving $C_{cp}$ from $C_d$

In retrospect, when comparing  $C_d$  and  $C_{cp}$ , we notice that  $C_{cp}$  could have been derived from  $C_d$  rather than from  $S_{cp}$ : by cps-transforming the  $C_d$ , taking into account to use the non-standard cps Lam-rule, and performing appropriate  $\eta$ -expansions for the Lam- and App-rules. This way of deriving  $S_{cp}$  might be useful in a context where a handwritten ds-cogen already exists, for example if one were to write a cps-cogen for the ML-cogen described in [BW93]. We believe that this can be done without great difficulty.

## 5 Related work

Already in the REDFUN-project was a cogen for a subset of Lisp written by hand [BHOS76]. The motivation was that the specializer could not be self-applied.

In [Hol89], a handwritten cogen was based on macro expansion. In the paper [HL91], a ds-cogen for a statically typed language is described. The ideas from [HL91] were used for hand-writing a ds-cogen for a subset of Standard ML [BW93].

Quite recently the work by Lawall and Danvy in [LD94] came to our attention. Lawall and Danvy show how the cps-specializer from [Bon92] can be almost automatically derived from a ds-specializer by inserting the control operators shift and reset (see [DF90]) at selected places and cps converting the resulting specialiser. They also devote some attention to how their ideas could be used in the context of a handwritten cogen.

## 6 Conclusion

We have demonstrated how an efficient cps-based cogen can be written by hand. The handwritten cogen performs no environment manipulations, contrasting to cogens generated by self-applying specializers. The cps-cogen is derived naturally from a cps-specializer, except that some non-standard  $\eta$ -expansions are needed in the treatment of Lam- and App-forms to shift between functions and expressions. We have given correctness proofs for the cps-cogen as well as for a ds-cogen.

We believe that our handwritten cogen is a good starting point for hand-writing cps-based cogens for larger strict functional languages. Our work does not immediately carry over to lazy languages as the cps-transformation we have used is the strict cps-transformation. However, it is plausible that a similar development could be made for a lazy language using call-by-name cps-transformation (with loss of sharing as a consequence).

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## A Proofs of the theorems 1 and 2

Both proofs are by induction over  $t$ ; the case analysis is over the syntactic forms specified in Figure 4. All equalities are annotated to explain why equality holds. Notice that  $\beta$ - and  $\eta$ -equalities are used:  $\beta/\eta$  do not in general hold for the

typed ( $C_d$  and  $S_d$  are both simply typed) strict weak-head normal form lambda-calculus.  $\beta/\eta$  thus only hold when termination properties do not change; we only use  $\beta/\eta$  when this is the case. We use  $\beta$ -abstraction to prevent duplicating expressions of form *fresh*( $\cdot$ ). Also notice that in both proofs we rely on the fact that the variable  $m$ , introduced in the Lam- and Let-rule in both ds- and cps-cogen, is unique:  $m$  does not occur in input programs and can not be generated by application of *fresh*( $\cdot$ ). By construction it is assured that  $\forall t$ : neither  $C_{cp}[[t]]\kappa$  (where  $\kappa$  is relevant) nor  $C_d[[t]]$  contains  $m$  as a free variable, nor that any definition of  $m$  shadows another definition of  $m$  (see Figure 6 and Figure 8).

### A.1 Proof of Theorem 1

See Figure 9.

### A.2 Proof of Theorem 2

We first give the lemmas needed for the inductive proof of Theorem 2. Notice that Lemma 8 was also used in the proof of Corollary 3. We use  $M$  and  $E$  to range over meta-expressions (as opposed to  $e$  that ranges over object expressions). Recall (Section 3) that only two-level expressions  $t$  with all variable names distinct and only well-behaved continuations  $\kappa$  are considered when quantifying over  $t$  and  $\kappa$ .

LEMMA 4 (Environment simplification)

$\forall t, \kappa$  : if  $v$  is bound in  $t$  then

$$\forall \rho : \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[[\kappa(\text{Var } m)]]\rho[v \mapsto \dots] = \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[[\kappa(\text{Var } m)]]\rho$$

that is, term  $\kappa(\text{Var } m)$  will not contain any free occurrences of  $v$ .

PROOF: Continuation  $\kappa$  is generated independently of  $t$ , so when applied to  $(\text{Var } m)$  it cannot (since all source variable names are distinct) generate expressions with any (and hence no free)  $v$ -occurrences.  $\square$

LEMMA 5 (Extracting out  $\kappa$ 's argument)

$\forall t, \kappa$  : if  $t$  is one of the forms  $\text{Var } v$ ,  $\text{Lam } v t_1$ , Lam  $v t_1$  or App  $t_1 t_2$  then, when computing  $C_{cp}[[t]]\kappa$ , the following equality holds for (all relevant instances of) the expressions  $\kappa E$  in the right-hand sides of the sides of the rules for Var, Lam, Lam and App:

$$\forall \rho : \mathcal{E}[[\kappa E]]\rho = (\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[[\kappa(\text{Var } m)]]\rho[m \mapsto a]) (\mathcal{E}[[E]]\rho)$$

PROOF: First notice that since  $\rho[m \mapsto a]$  is strict in  $a$ , we may  $\beta$ -reduce  $(\lambda a. \dots)(\mathcal{E}[[E]]\rho)$ . We thus have to prove  $\mathcal{E}[[\kappa E]]\rho = \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[[\kappa(\text{Var } m)]]\rho[m \mapsto \mathcal{E}[[E]]\rho]$ . We shall refer to the left- and right-hand sides of this equality as lhs and rhs below.

Let  $e$  be the value of (meta-)expression  $E$ , let  $e_1$  be the value of (meta-)expression  $\kappa E$ , and let  $e_2$  be the value of (meta-)expression  $\kappa(\text{Var } m)$ ; notice from the type of  $\kappa$  (Figure 8) that the values  $e$ ,  $e_1$  and  $e_2$  are all expressions. It then holds that  $e_1$  always contains at least one leaf which is a copy of  $e$ , and this leaf is always placed in a strict position, i.e. when evaluating  $e_1$ ,  $e$  is guaranteed also to be evaluated ("evaluation" is done by  $\mathcal{E}$ ); apart from the  $e$ -leaves, the rest of  $e_1$  is independent of  $e$ . These properties of  $e_1$  are easily inductively proved by considering all possible relevant continuations  $\kappa$ .

$$\begin{aligned}
& \mathcal{E}[\llbracket C_d \llbracket Var v \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket Var v \rrbracket \rho] \stackrel{\varepsilon}{=} \rho \ v \stackrel{S_d}{=} S_d[\llbracket Var v \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket Lam v t_1 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket Lam v (C_d \llbracket t_1 \rrbracket) \rrbracket \rho] \stackrel{\varepsilon}{=} \lambda w. \mathcal{E}[\llbracket (C_d \llbracket t_1 \rrbracket) \rrbracket \rho[v \mapsto w]] \stackrel{\text{induction}}{=} \lambda w. S_d[\llbracket t_1 \rrbracket \rho[v \mapsto w]] \stackrel{S_d}{=} \\
& \quad S_d[\llbracket Lam v t_1 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket App t_1 t_2 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket App (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket) \rrbracket \rho] \stackrel{\varepsilon}{=} (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho]) (\mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho]) \stackrel{2 \text{ inductions}}{=} \\
& \quad (S_d[\llbracket t_1 \rrbracket \rho]) (S_d[\llbracket t_2 \rrbracket \rho]) \stackrel{S_d}{=} S_d[\llbracket App t_1 t_2 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket Let v t_1 t_2 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket Let v (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket) \rrbracket \rho] \stackrel{\varepsilon}{=} \mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho[v \mapsto \mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho]]] \stackrel{2 \text{ inductions}}{=} \\
& \quad S_d[\llbracket t_2 \rrbracket \rho[v \mapsto S_d[\llbracket t_1 \rrbracket \rho]]] \stackrel{S_d}{=} S_d[\llbracket Let v t_1 t_2 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket Lam v t_1 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket Let m Fresh (Let v (Var \diamond m) (Lam \diamond (Var m) (C_d \llbracket t_1 \rrbracket))) \rrbracket \rho] \stackrel{\varepsilon}{=} \\
& \quad \mathcal{E}[\llbracket Let v (Var \diamond m) (Lam \diamond (Var m) (C_d \llbracket t_1 \rrbracket)) \rrbracket \rho[m \mapsto \text{fresh}()]] \stackrel{\beta}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket Let v (Var \diamond m) (Lam \diamond (Var m) (C_d \llbracket t_1 \rrbracket)) \rrbracket \rho[m \mapsto n]] \stackrel{\varepsilon}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket Lam \diamond (Var m) C_d \llbracket t_1 \rrbracket \rrbracket \rho[m \mapsto n, v \mapsto \mathcal{E}[\llbracket Var \diamond m \rrbracket \rho[m \mapsto n]]]] \stackrel{\varepsilon; \varepsilon; \varepsilon}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } Lam \ n (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho[m \mapsto n, v \mapsto Var \ n]]) \stackrel{m \text{ not free in } C_d \llbracket t_1 \rrbracket}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } Lam \ n (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho[v \mapsto Var \ n]]) \stackrel{\text{induction}}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } Lam \ n (S_d[\llbracket t_1 \rrbracket \rho[v \mapsto Var \ n]]) \stackrel{S_d}{=} S_d[\llbracket Lam v t_1 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket App t_1 t_2 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket App \diamond (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket) \rrbracket \rho] \stackrel{\varepsilon}{=} App (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho]) (\mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho]) \stackrel{2 \text{ inductions}}{=} \\
& \quad App (S_d[\llbracket t_1 \rrbracket \rho]) (S_d[\llbracket t_2 \rrbracket \rho]) \stackrel{S_d}{=} S_d[\llbracket App t_1 t_2 \rrbracket \rho]. \\
& \mathcal{E}[\llbracket C_d \llbracket Let v t_1 t_2 \rrbracket \rrbracket \rho] \stackrel{C_d}{=} \mathcal{E}[\llbracket Let m Fresh (Let v (Var \diamond m) (Let \diamond (Var m) (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket))) \rrbracket \rho] \stackrel{\varepsilon}{=} \\
& \quad \mathcal{E}[\llbracket Let v (Var \diamond m) (Let \diamond (Var m) (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket)) \rrbracket \rho[m \mapsto \text{fresh}()]] \stackrel{\beta}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket Let v (Var \diamond m) (Let \diamond (Var m) (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket)) \rrbracket \rho[m \mapsto n]] \stackrel{\varepsilon}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket Let \diamond (Var m) (C_d \llbracket t_1 \rrbracket) (C_d \llbracket t_2 \rrbracket) \rrbracket \rho[m \mapsto n, v \mapsto \mathcal{E}[\llbracket Var \diamond m \rrbracket \rho[m \mapsto n]]]] \stackrel{\varepsilon; \varepsilon; \varepsilon}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } Let \ n (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho[m \mapsto n, v \mapsto Var \ n]]) (\mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho[m \mapsto n, v \mapsto Var \ n]]) \stackrel{m \text{ not free in } C_d \llbracket t_1 \rrbracket, C_d \llbracket t_2 \rrbracket}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } Let \ n (\mathcal{E}[\llbracket C_d \llbracket t_1 \rrbracket \rrbracket \rho[v \mapsto Var \ n]]) (\mathcal{E}[\llbracket C_d \llbracket t_2 \rrbracket \rrbracket \rho[v \mapsto Var \ n]]) \stackrel{2 \text{ inductions}}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } Let \ n (S_d[\llbracket t_1 \rrbracket \rho[v \mapsto Var \ n]]) (S_d[\llbracket t_2 \rrbracket \rho[v \mapsto Var \ n]]) \stackrel{v \text{ not free in } t_1 \text{ (}\alpha\text{-conv.)}}{=} \\
& \quad \text{let } n = \text{fresh}() \text{ in } Let \ n (S_d[\llbracket t_1 \rrbracket \rho]) (S_d[\llbracket t_2 \rrbracket \rho[v \mapsto Var \ n]]) \stackrel{S_d}{=} S_d[\llbracket Let v t_1 t_2 \rrbracket \rho].
\end{aligned}$$

Figure 9: Correctness of ds-cogen

It now follows that lhs and rhs have identical termination properties (since  $e$  is always evaluated in  $e_1$ ) and that  $e_1$  and  $e_2$  are identical, except at those leaves where  $e_1$  contains  $e$  and  $e_2$  contains the value of  $m$  (we shall be sloppy and just write  $m$  below). To prove lhs = rhs, we then just have to consider the differing leaves, i.e. we have to prove  $\mathcal{E}[\llbracket e \rrbracket \rho[\dots]] = \mathcal{E}[\llbracket (Var \ m) \rrbracket \rho[m \mapsto \mathcal{E}[\llbracket e \rrbracket \rho, \dots]]]$  where  $\rho[\dots]$  and  $\rho[m \mapsto \mathcal{E}[\llbracket e \rrbracket \rho, \dots]]$  are the environments that  $\mathcal{E}$  will use when evaluating the  $e/(Var \ m)$  leaves. But we know that  $\mathcal{E}[\llbracket (Var \ m) \rrbracket \rho[m \mapsto \mathcal{E}[\llbracket e \rrbracket \rho, \dots]]] = \mathcal{E}[\llbracket e \rrbracket \rho]$  since  $m$  was fresh and hence is not shadowed in  $\kappa(Var \ m)$ . We thus have to prove  $\mathcal{E}[\llbracket e \rrbracket \rho[\dots]] = \mathcal{E}[\llbracket e \rrbracket \rho]$  which holds if no free variables of  $e$  are shadowed (and rebound) in  $\kappa \ e$ .

But no  $\kappa$  ever shadows any variable: the only relevant continuations which potentially may shadow free variables are the continuations  $\lambda x. \text{Let } v \text{ Fresh } \dots$  generated by  $C_{cp}$ 's Let-rule. However, since all source variable names are distinct and since  $\kappa$  is relevant and hence has been generated independently of  $t_1$ , variable  $x$  cannot possibly become bound

to any expression containing any (and hence no free) occurrences of variable  $v$  when computing  $C_{cp}[\llbracket t_1 \rrbracket](\lambda x. \dots)$ .  $\square$

LEMMA 6 (Reordering  $\lambda$  and  $let$ )

$$\forall \kappa : \lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\llbracket \kappa(Var \ m) \rrbracket \rho[m \mapsto a]] = \text{let } m = \text{fresh}() \text{ in } \lambda a. \mathcal{E}[\llbracket \kappa(Var \ m) \rrbracket \rho[m \mapsto a]]$$

PROOF: Both sides of the equality terminate equally often. The difference between the two expressions is then only that the left-hand side generates a different  $m$  each time the function is applied whereas the right-hand side uses the same  $m$ . But as the value of  $\mathcal{E}[\llbracket \kappa(Var \ m) \rrbracket \rho[m \mapsto a]]$  is independent of which particular fresh variable  $m$  denotes, the equality follows.  $\square$

LEMMA 7 (Reordering  $\mathcal{E}$  and  $let$ )

$$\forall E_1, E_2 : n \text{ not free in } E_2 \Rightarrow \mathcal{E}[\llbracket \text{let } n = \text{fresh}() \text{ in } E_1 \rrbracket E_2] = \text{let } n = \text{fresh}() \text{ in } \mathcal{E}[\llbracket E_1 \rrbracket E_2]$$

PROOF: Follows from strictness of  $\mathcal{E}$  in its first argument and that the *let*-form is strict. The condition “ $n$  not free in  $E_2$ ” ensures that no undesired shadowing occurs.  $\square$

LEMMA 8 (Removing superfluous fresh variable generation)  
 $\forall M : M$  not free in  $E \Rightarrow \text{let } M = \text{fresh}() \text{ in } E = E$

PROOF: Trivial as expression *fresh()* always terminates normally.  $\square$

Let us now give the inductive proof of Theorem 2. We use the textual abbreviation  $\mu$  for the continuation  $(\lambda a. \text{let } m = \text{fresh}() \text{ in } \mathcal{E}[\kappa(\text{Var } m)]\rho[m \mapsto a])$  that occurs in Theorem 2 and in Lemma 5. For each possible  $t$ , we thus have to prove  $\mathcal{E}[\llbracket C_{cp} \llbracket t \rrbracket \kappa \rrbracket \rho] = S_{cp} \llbracket t \rrbracket \rho \mu$ . Notice that, using the abbreviation, Lemma 5 states that  $\mathcal{E}[\llbracket \kappa E \rrbracket \rho] = \mu(\mathcal{E}[\llbracket E \rrbracket \rho])$ .

For proof of theorem 2 see Figure 10 and Figure 11.

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$$\begin{aligned}
& \mathcal{E}[\mathcal{C}_{cp}[\text{Var } v]\kappa]\rho \stackrel{C_{cp}}{=} \mathcal{E}[\kappa(\text{Var } v)]\rho \stackrel{\text{Lemma 5}}{=} \mu(\mathcal{E}[\text{Var } v]\rho) \stackrel{\mathcal{E}}{=} \mu(\rho v) \stackrel{S_{cp}}{=} S_{cp}[\text{Var } v]\rho\mu. \\
& \mathcal{E}[\mathcal{C}_{cp}[\text{Lam } v \ t_1]\kappa]\rho \stackrel{C_{cp}}{=} \mathcal{E}[\kappa(\text{Lam } v(\text{let } n=\text{fresh}() \text{ in Lam } n(\mathcal{C}_{cp}[\![t_1]\!](\lambda x. \text{App}(\text{Var } n) \ x))))]\rho \stackrel{\text{Lemma 5}}{=} \\
& \quad \mu(\mathcal{E}[\text{Lam } v(\text{let } n=\text{fresh}() \text{ in Lam } n(\mathcal{C}_{cp}[\![t_1]\!](\lambda x. \text{App}(\text{Var } n) \ x))))]\rho) \stackrel{\mathcal{E}; \text{Lemma 7}; \mathcal{E}}{=} \\
& \quad \mu(\lambda w. \text{let } n=\text{fresh}() \text{ in } \lambda u. \mathcal{E}[\mathcal{C}_{cp}[\![t_1]\!](\lambda x. \text{App}(\text{Var } n) \ x)]\rho[v \mapsto w, n \mapsto u]) \stackrel{\text{induction}}{=} \\
& \quad \mu(\lambda w. \text{let } n=\text{fresh}() \text{ in } \lambda u. S_{cp}[\![t_1]\!]\rho[v \mapsto w, n \mapsto u](\lambda a. \text{let } m=\text{fresh}() \text{ in} \\
& \quad \quad \mathcal{E}[(\lambda x. \text{App}(\text{Var } n) \ x)(\text{Var } m)]\rho[v \mapsto w, n \mapsto u, m \mapsto a]) \stackrel{n \text{ not free in } t_1; \beta; \mathcal{E}}{=} \\
& \quad \mu(\lambda w. \text{let } n=\text{fresh}() \text{ in } \lambda u. S_{cp}[\![t_1]\!]\rho[v \mapsto w](\lambda a. \text{let } m=\text{fresh}() \text{ in } u \ a)) \stackrel{\text{Lemma 8 twice}}{=} \\
& \quad \mu(\lambda w. \lambda u. S_{cp}[\![t_1]\!]\rho[v \mapsto w](\lambda a. u \ a)) \stackrel{\eta \text{ twice}}{=} \mu(\lambda w. S_{cp}[\![t_1]\!]\rho[v \mapsto w]) \stackrel{S_{cp}}{=} S_{cp}[\text{Lam } v \ t_1]\rho\mu. \\
& \mathcal{E}[\mathcal{C}_{cp}[\text{App } t_1 \ t_2]\kappa]\rho \stackrel{C_{cp}}{=} \\
& \quad \mathcal{E}[\mathcal{C}_{cp}[\![t_1]\!](\lambda x. \mathcal{C}_{cp}[\![t_2]\!](\lambda y. \text{App}(\text{App } x \ y)(\text{let } n=\text{fresh}() \text{ in Lam } n(\kappa(\text{Var } n)))))]\rho \stackrel{\text{induction}}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda a. \text{let } m=\text{fresh}() \text{ in } \mathcal{E}[(\lambda x. \mathcal{C}_{cp}[\![t_2]\!](\lambda y. \text{App}(\text{App } x \ y)(\text{let } n=\text{fresh}() \text{ in} \\
& \quad \quad \text{Lam } n(\kappa(\text{Var } n)))))(\text{Var } m)]\rho[m \mapsto a]) \stackrel{\beta; \text{renaming } a \text{ to } x}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda x. \text{let } m=\text{fresh}() \text{ in } \mathcal{E}[\mathcal{C}_{cp}[\![t_2]\!](\lambda y. \text{App}(\text{App}(\text{Var } m) \ y)(\text{let } n=\text{fresh}() \text{ in} \\
& \quad \quad \text{Lam } n(\kappa(\text{Var } n)))))]\rho[m \mapsto x]) \stackrel{\text{induction}}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda x. \text{let } m=\text{fresh}() \text{ in } S_{cp}[\![t_2]\!]\rho[m \mapsto x](\lambda a. \text{let } m'=\text{fresh}() \text{ in } \mathcal{E}[(\lambda y. \text{App}(\text{App}(\text{Var } m) \ y) \\
& \quad \quad (\text{let } n=\text{fresh}() \text{ in Lam } n(\kappa(\text{Var } n)))))(\text{Var } m')] \rho[m \mapsto x, m' \mapsto a]) \stackrel{m \text{ not free in } t_2; \beta; \mathcal{E}; \text{Lemma 7}; \mathcal{E}}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda x. \text{let } m=\text{fresh}() \text{ in } S_{cp}[\![t_2]\!]\rho(\lambda a. \text{let } m'=\text{fresh}() \text{ in } (x \ a)(\text{let } n=\text{fresh}() \text{ in } \lambda w. \mathcal{E}[\kappa(\text{Var } n)] \\
& \quad \quad \rho[m \mapsto x, m' \mapsto a, n \mapsto w]))) \stackrel{m, m' \text{ not free in } \kappa(\text{Var } n); \text{Lemma 8 twice}; \text{renaming } a \text{ to } y, w \text{ to } a, n \text{ to } m}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda x. S_{cp}[\![t_2]\!]\rho(\lambda y. (x \ y)(\text{let } m=\text{fresh}() \text{ in } \lambda a. \mathcal{E}[\kappa(\text{Var } m)]\rho[m \mapsto a]))) \stackrel{\text{Lemma 6}}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda x. S_{cp}[\![t_2]\!]\rho(\lambda y. (x \ y)(\lambda a. \text{let } m=\text{fresh}() \text{ in } \mathcal{E}[\kappa(\text{Var } m)]\rho[m \mapsto a]))) \stackrel{S_{cp}}{=} S_{cp}[\text{App } t_1 \ t_2]\rho\mu. \\
& \mathcal{E}[\mathcal{C}_{cp}[\text{Let } v \ t_1 \ t_2]\kappa]\rho \stackrel{C_{cp}}{=} \mathcal{E}[\mathcal{C}_{cp}[\![t_1]\!](\lambda x. \text{Let } v \ x(\mathcal{C}_{cp}[\![t_2]\!]\kappa))]\rho \stackrel{\text{induction}}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda a. \text{let } m'=\text{fresh}() \text{ in } \mathcal{E}[(\lambda x. \text{Let } v \ x \ \mathcal{C}_{cp}[\![t_2]\!]\kappa)(\text{Var } m')]\rho[m' \mapsto a]) \stackrel{\beta; \mathcal{E}; \text{renaming } a \text{ to } x}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda x. \text{let } m'=\text{fresh}() \text{ in } \mathcal{E}[\mathcal{C}_{cp}[\![t_2]\!]\kappa]\rho[m' \mapsto x, v \mapsto x]) \stackrel{\text{induction}}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda x. \text{let } m'=\text{fresh}() \text{ in } S_{cp}[\![t_2]\!]\rho[m' \mapsto x, v \mapsto x](\lambda a. \text{let } m=\text{fresh}() \text{ in} \\
& \quad \quad \mathcal{E}[\kappa(\text{Var } m)]\rho[m' \mapsto x, v \mapsto x, m \mapsto a]) \stackrel{m' \text{ not free in } t_2; m' \text{ not free in } \kappa(\text{Var } m); \text{Lemma 8}}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda x. S_{cp}[\![t_2]\!]\rho[v \mapsto x](\lambda a. \text{let } m=\text{fresh}() \text{ in } \mathcal{E}[\kappa(\text{Var } m)]\rho[v \mapsto x, m \mapsto a])) \stackrel{\text{Lemma 4}}{=} \\
& \quad S_{cp}[\![t_1]\!]\rho(\lambda x. S_{cp}[\![t_2]\!]\rho[v \mapsto x](\lambda a. \text{let } m=\text{fresh}() \text{ in } \mathcal{E}[\kappa(\text{Var } m)]\rho[m \mapsto a])) \stackrel{S_{cp}}{=} S_{cp}[\text{Let } v \ t_1 \ t_2]\rho\mu.
\end{aligned}$$

Figure 10: Correctness of cps-cogen (Part 1)



$$\begin{aligned}
& \mathcal{E}[\mathcal{C}_{cp}[\underline{\text{Lam}}\ v\ t_1\ \kappa]]\rho \stackrel{C_{cp}}{=} \mathcal{E}[\kappa\ (\text{Let}\ m\ \text{Fresh}\ (\text{Let}\ v\ (\text{Var}\ \diamond\ m)\ (\text{Lam}\ \diamond\ (\text{Var}\ m)\ (\mathcal{C}_{cp}[\underline{t_1}]\ \iota))))]\rho \stackrel{\text{Lemma 5}}{=} \\
& \quad \mu\ (\mathcal{E}[\text{Let}\ m\ \text{Fresh}\ (\text{Let}\ v\ (\text{Var}\ \diamond\ m)\ (\text{Lam}\ \diamond\ (\text{Var}\ m)\ (\mathcal{C}_{cp}[\underline{t_1}]\ \iota)))]\rho) \stackrel{\varepsilon; \beta; \varepsilon}{=} \varepsilon \\
& \quad \mu\ (\text{let}\ n=\text{fresh}()\ \text{in}\ \mathcal{E}[\text{Lam}\ \diamond\ (\text{Var}\ m)\ (\mathcal{C}_{cp}[\underline{t_1}]\ \iota)]\rho[m \mapsto n, v \mapsto \mathcal{E}[\text{Var}\ \diamond\ m]\rho[m \mapsto n]]) \stackrel{\varepsilon; \varepsilon; \varepsilon}{=} \varepsilon \\
& \quad \mu\ (\text{let}\ n=\text{fresh}()\ \text{in}\ \text{Lam}\ n\ (\mathcal{E}[\mathcal{C}_{cp}[\underline{t_1}]\ \iota]\rho[m \mapsto n, v \mapsto \text{Var}\ n])) \stackrel{m\ \text{not free in } \mathcal{C}_{cp}[\underline{t_1}]\ \iota}{=} \\
& \quad \mu\ (\text{let}\ n=\text{fresh}()\ \text{in}\ \text{Lam}\ n\ (\mathcal{E}[\mathcal{C}_{cp}[\underline{t_1}]\ \iota]\rho[v \mapsto \text{Var}\ n])) \stackrel{\text{induction}}{=} \\
& \quad \mu\ (\text{let}\ n=\text{fresh}()\ \text{in}\ \text{Lam}\ n\ (\mathcal{S}_{cp}[\underline{t_1}]\rho[v \mapsto \text{Var}\ n](\lambda a.\ \text{let}\ m=\text{fresh}()\ \text{in}\ \mathcal{E}[\iota\ (\text{Var}\ m)]\rho[v \mapsto \text{Var}\ n, m \mapsto a]))) \\
& \quad \varepsilon; \text{Lemma 8}; \iota = \lambda a.\ a \quad \mu\ (\text{let}\ n=\text{fresh}()\ \text{in}\ \text{Lam}\ n\ (\mathcal{S}_{cp}[\underline{t_1}]\rho[v \mapsto \text{Var}\ n]\iota)) \stackrel{S_{cp}}{=} \mathcal{S}_{cp}[\underline{\text{Lam}}\ v\ t_1]\rho\mu. \\
\\
& \mathcal{E}[\mathcal{C}_{cp}[\underline{\text{App}}\ t_1\ t_2]\rho] \stackrel{C_{cp}}{=} \mathcal{E}[\mathcal{C}_{cp}[\underline{t_1}](\lambda x.\ \mathcal{C}_{cp}[\underline{t_2}](\lambda y.\ \kappa\ (\text{App}\ \diamond\ x\ y)))]\rho \stackrel{\text{induction}}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda a.\ \text{let}\ m=\text{fresh}()\ \text{in}\ \mathcal{E}[(\lambda x.\ \mathcal{C}_{cp}[\underline{t_2}](\lambda y.\ \kappa\ (\text{App}\ \diamond\ x\ y))\ (\text{Var}\ m)]\rho[m \mapsto a]) \stackrel{\beta; \text{renaming } a\ \text{to } x}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ m=\text{fresh}()\ \text{in}\ \mathcal{E}[\mathcal{C}_{cp}[\underline{t_2}](\lambda y.\ \kappa\ (\text{App}\ \diamond\ (\text{Var}\ m)\ y))]\rho[m \mapsto x]) \stackrel{\text{induction}}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ m=\text{fresh}()\ \text{in}\ \mathcal{S}_{cp}[\underline{t_2}]\rho[m \mapsto x](\lambda a.\ (\text{let}\ m'=\text{fresh}()\ \text{in} \\
& \quad \mathcal{E}[(\lambda y.\ \kappa\ (\text{App}\ \diamond\ (\text{Var}\ m)\ y))\ (\text{Var}\ m')]\rho[m \mapsto x, m' \mapsto a]))) \stackrel{m\ \text{not free in } t_2; \beta; \text{renaming } a\ \text{to } y}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ m=\text{fresh}()\ \text{in}\ \mathcal{S}_{cp}[\underline{t_2}]\rho(\lambda y.\ (\text{let}\ m'=\text{fresh}()\ \text{in} \\
& \quad \mathcal{E}[\kappa\ (\text{App}\ \diamond\ (\text{Var}\ m)\ (\text{Var}\ m'))]\rho[m \mapsto x, m' \mapsto y]))) \stackrel{\text{Lemma 5}}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ m=\text{fresh}()\ \text{in}\ \mathcal{S}_{cp}[\underline{t_2}]\rho(\lambda y.\ (\text{let}\ m'=\text{fresh}()\ \text{in} \\
& \quad \mu\ (\mathcal{E}[\text{App}\ \diamond\ (\text{Var}\ m)\ (\text{Var}\ m')]\rho[m \mapsto x, m' \mapsto y]))) \stackrel{\varepsilon}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ m=\text{fresh}()\ \text{in}\ \mathcal{S}_{cp}[\underline{t_2}]\rho(\lambda y.\ (\text{let}\ m'=\text{fresh}()\ \text{in}\ \mu\ (\text{App}\ x\ y)))) \stackrel{\text{Lemma 8 twice}}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \mathcal{S}_{cp}[\underline{t_2}]\rho(\lambda y.\ \mu\ (\text{App}\ x\ y))) \stackrel{S_{cp}}{=} \mathcal{S}_{cp}[\underline{\text{App}}\ t_1\ t_2]\rho\mu. \\
\\
& \mathcal{E}[\mathcal{C}_{cp}[\underline{\text{Let}}\ v\ t_1\ t_2]\kappa]\rho \stackrel{C_{cp}}{=} \mathcal{E}[\mathcal{C}_{cp}[\underline{t_1}](\lambda x.\ \text{Let}\ m\ \text{Fresh}\ (\text{Let}\ v\ (\text{Var}\ \diamond\ m)\ (\text{Let}\ \diamond\ (\text{Var}\ m)\ x\ (\mathcal{C}_{cp}[\underline{t_2}]\ \kappa))))]\rho \stackrel{\text{induction}}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda a.\ \text{let}\ m'=\text{fresh}()\ \text{in}\ \mathcal{E}[(\lambda x.\ \text{Let}\ m\ \text{Fresh}\ (\text{Let}\ v\ (\text{Var}\ \diamond\ m)\ (\text{Let}\ \diamond\ (\text{Var}\ m)\ x \\
& \quad (\mathcal{C}_{cp}[\underline{t_2}]\ \kappa))))\ (\text{Var}\ m')]\rho[m' \mapsto a]) \stackrel{\beta; \varepsilon; \beta; \varepsilon; \varepsilon; \varepsilon; \varepsilon; \varepsilon; \varepsilon; \text{renaming } a\ \text{to } x}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ m'=\text{fresh}()\ \text{in}\ \text{let}\ n=\text{fresh}()\ \text{in}\ \text{Let}\ n\ x\ (\mathcal{E}[\mathcal{C}_{cp}[\underline{t_2}]\ \kappa]\rho[m' \mapsto x, m \mapsto n, v \mapsto \text{Var}\ n])) \\
& \quad \stackrel{m\ \text{not free in } \mathcal{C}_{cp}[\underline{t_2}]\ \kappa}{=} \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ m'=\text{fresh}()\ \text{in}\ \text{let}\ n=\text{fresh}()\ \text{in}\ \text{Let}\ n\ x\ (\mathcal{E}[\mathcal{C}_{cp}[\underline{t_2}]\ \kappa]\rho[m' \mapsto x, v \mapsto \text{Var}\ n])) \\
& \quad \stackrel{\text{induction}}{=} \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ m'=\text{fresh}()\ \text{in}\ \text{let}\ n=\text{fresh}()\ \text{in}\ \text{Let}\ n\ x\ (\mathcal{S}_{cp}[\underline{t_2}]\rho[m' \mapsto x, v \mapsto \text{Var}\ n](\lambda a.\ (\text{let}\ m''=\text{fresh}()\ \text{in} \\
& \quad \mathcal{E}[\kappa\ (\text{Var}\ m'')]\rho[m' \mapsto x, v \mapsto \text{Var}\ n, m'' \mapsto a]))) \stackrel{m'\ \text{not free in } t_2; m''\ \text{not free in } \kappa\ (\text{Var}\ m''); \text{Lemma 8}}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ n=\text{fresh}()\ \text{in}\ \text{Let}\ n\ x\ (\mathcal{S}_{cp}[\underline{t_2}]\rho[v \mapsto \text{Var}\ n](\lambda a.\ (\text{let}\ m''=\text{fresh}()\ \text{in} \\
& \quad \mathcal{E}[\kappa\ (\text{Var}\ m'')]\rho[v \mapsto \text{Var}\ n, m'' \mapsto a]))) \stackrel{\text{Lemma 4}}{=} \\
& \quad \mathcal{S}_{cp}[\underline{t_1}]\rho(\lambda x.\ \text{let}\ n=\text{fresh}()\ \text{in}\ \text{Let}\ n\ x\ (\mathcal{S}_{cp}[\underline{t_2}]\rho[v \mapsto \text{Var}\ n](\lambda a.\ (\text{let}\ m''=\text{fresh}()\ \text{in} \\
& \quad \mathcal{E}[\kappa\ (\text{Var}\ m'')]\rho[m \mapsto a]))) \stackrel{S_{cp}}{=} \mathcal{S}_{cp}[\underline{\text{Let}}\ v\ t_1\ t_2]\rho\mu.
\end{aligned}$$

Figure 11: Correctness of cps-cogen (Part 2)