

# Software Verification: An Evolution-Centric Perspective

Gregg Rothermel

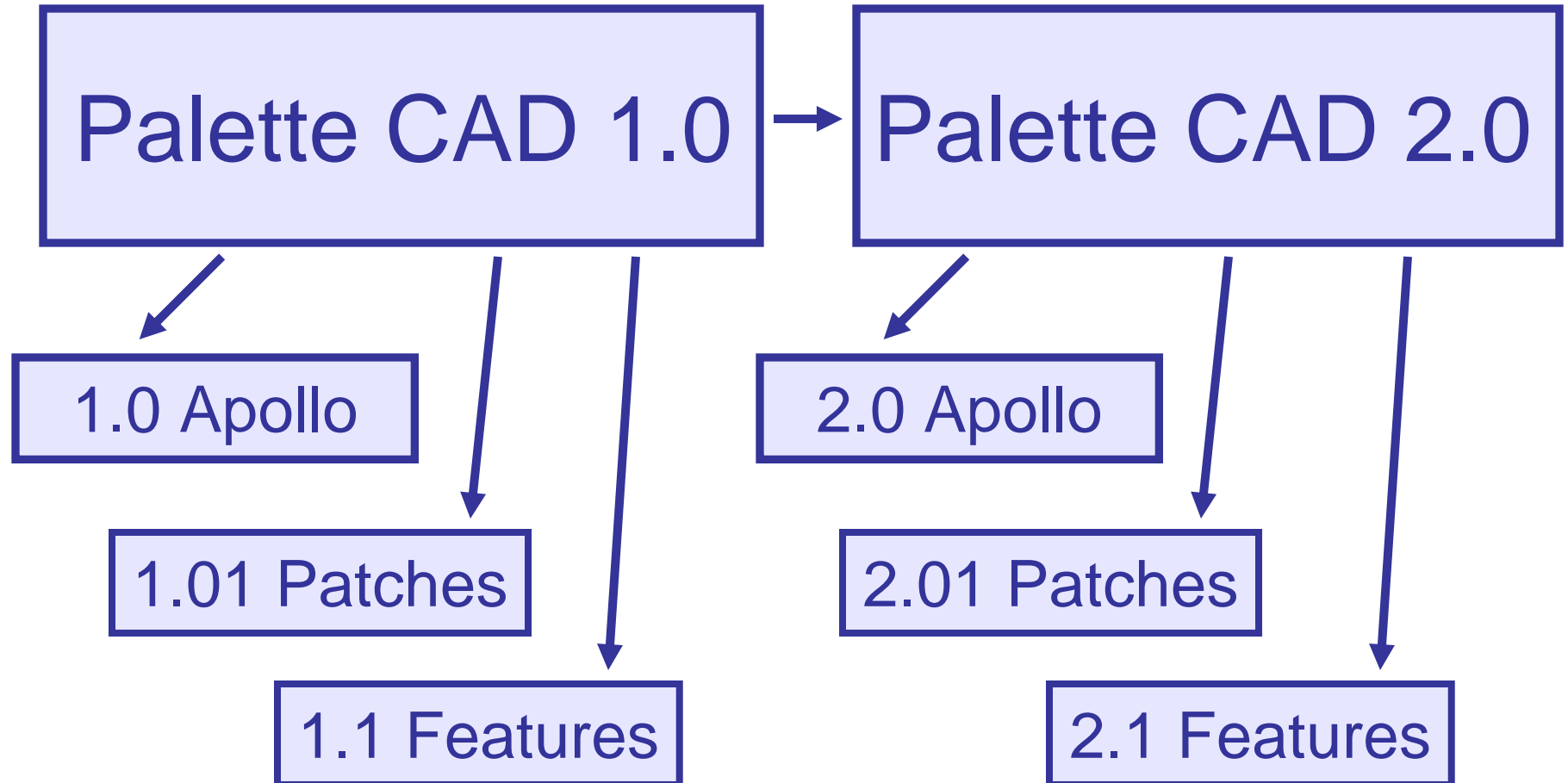


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Supported by the National Science Foundation,  
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and Boeing Commercial Aircraft Group

# Evolving Software



# **An Evolution-Centric Perspective on Software Verification**

- Focus on evolution first
- Harness evolution
- Design for incremental validation

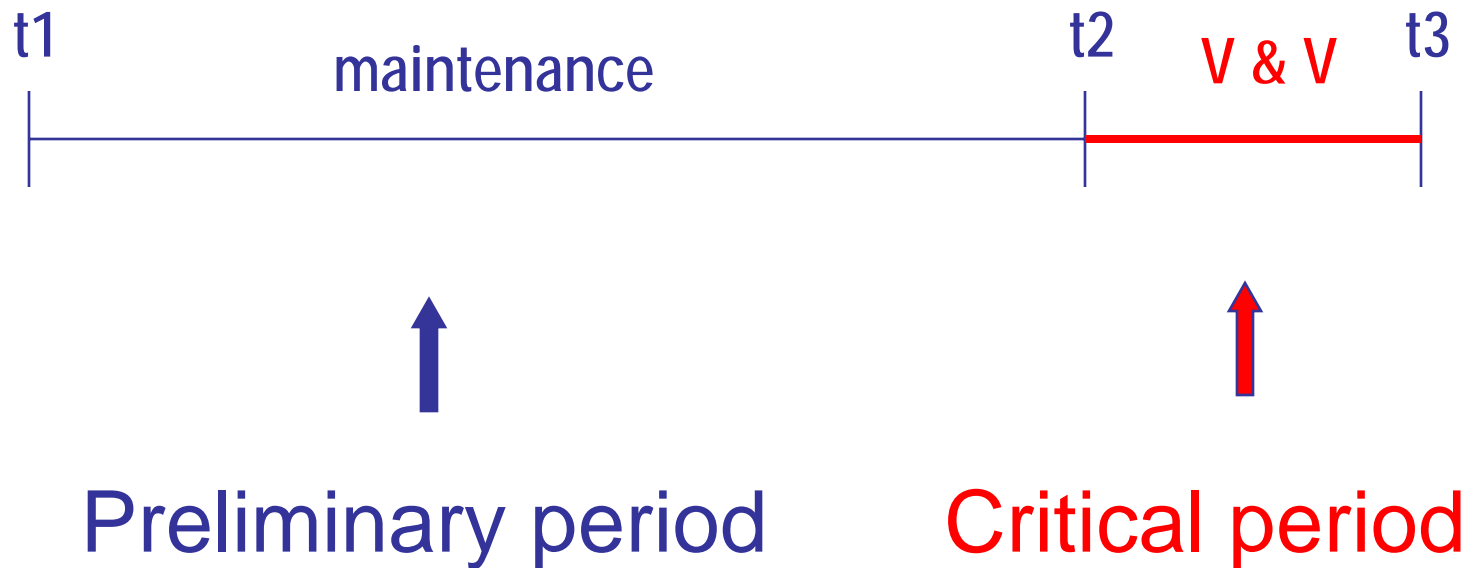
# Overview of Presentation

- Evolving software
- Regression test selection
  - Dejavu algorithm
  - Analytical and empirical evaluation
- Regression model checking
  - Algorithm
  - Empirical evaluation
- Ongoing and Future Work

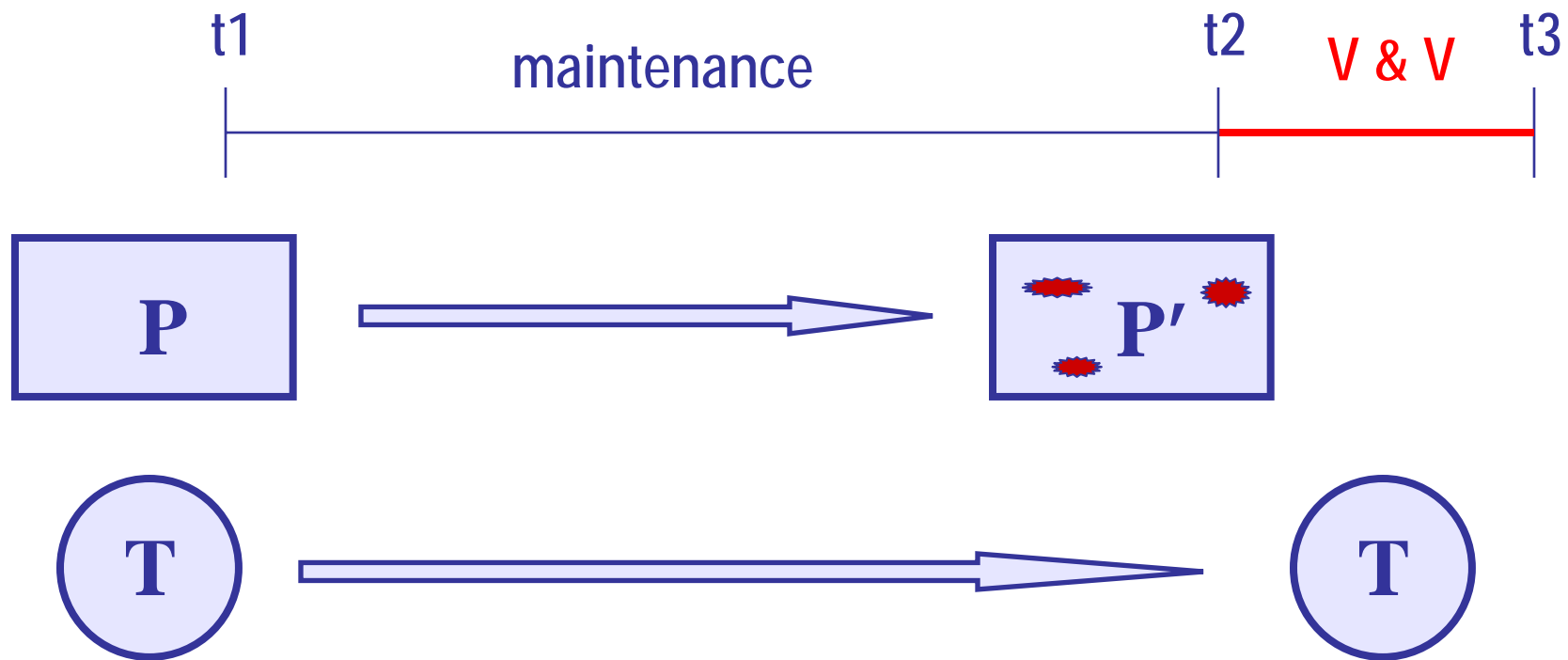
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# Evolving Software: A Basic Process Model



# Evaluating Evolving Software with Regression Testing

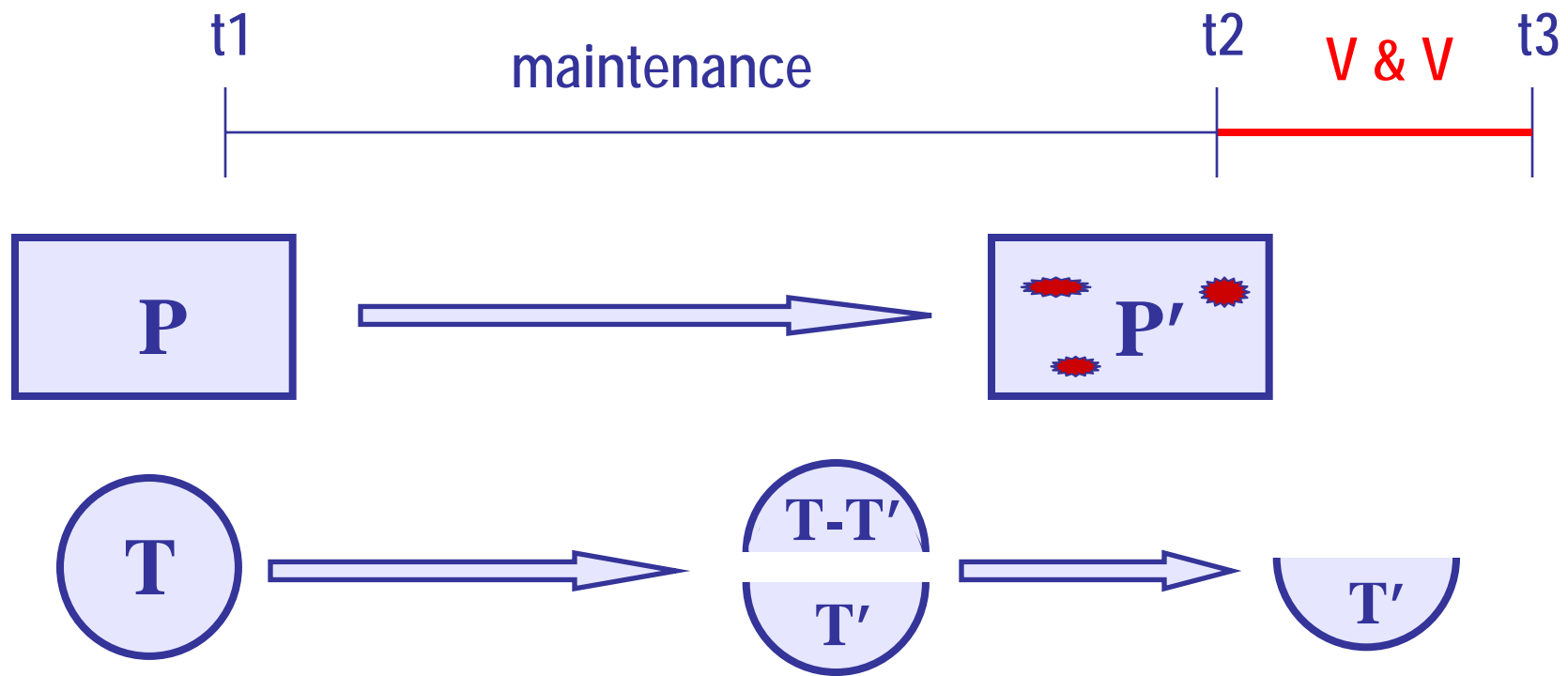


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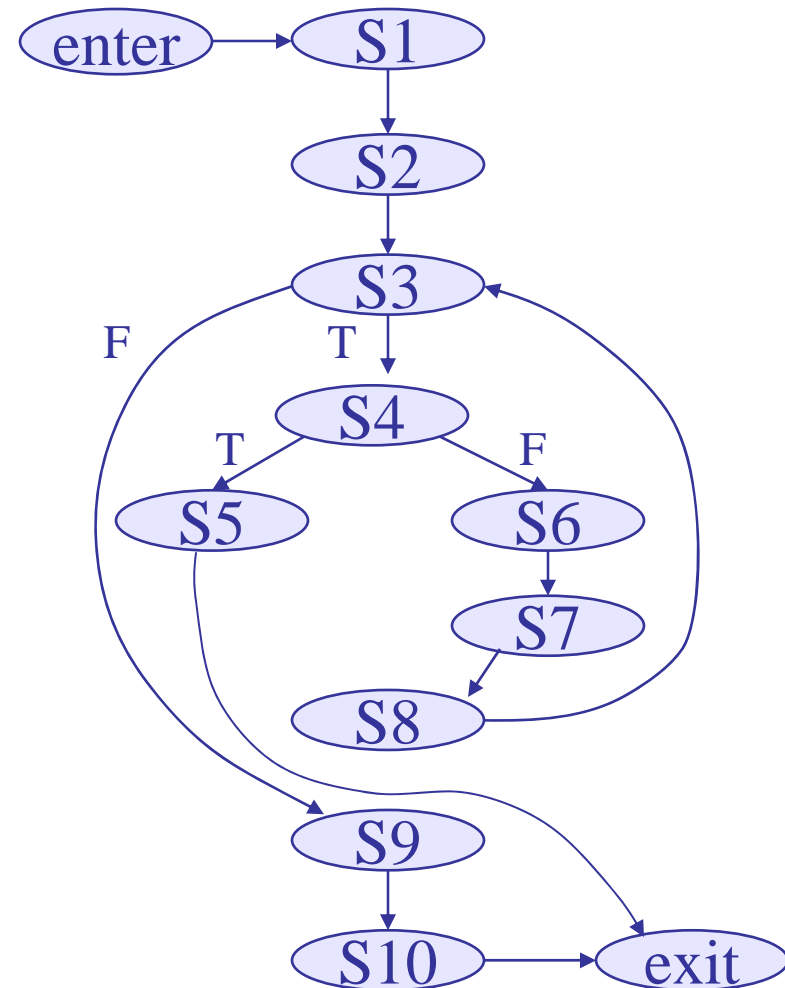
# Regression Test Selection



# Control Flow Graphs

## Procedure Avg

```
S1 count = 0
S2 fread(fptr,n)
S3 while (not EOF) do
S4   if (n<0)
S5     return(error)
   else
S6     nums[count] = n
S7     count++
   endif
S8   fread(fptr,n)
endwhile
S9 avg = mean(nums,count)
S10 return(avg)
```



# Execution Traces

## Procedure Avg

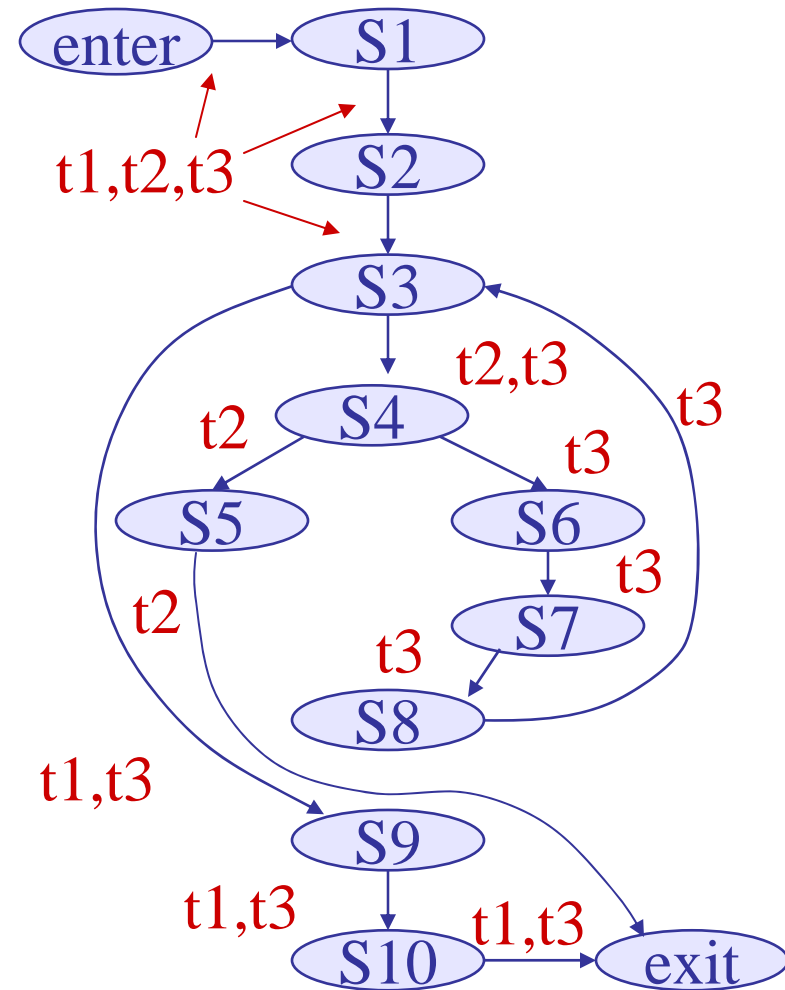
```
S1  count = 0
S2  fread(fp_ptr,n)
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S4    if (n<0)
S5      return(error)
      else
S6        nums[count] = n
S7        count++
      endif
S8    fread(fp_ptr,n)
      endwhile
S9  avg = mean(nums,count)
S10 return(avg)
```

test	input	output
t1	empty file	0



# Test History Information

test	input	output
t1	empty file	0
t2	-1	error
t3	1 2 3	2



# Program and Modified Version

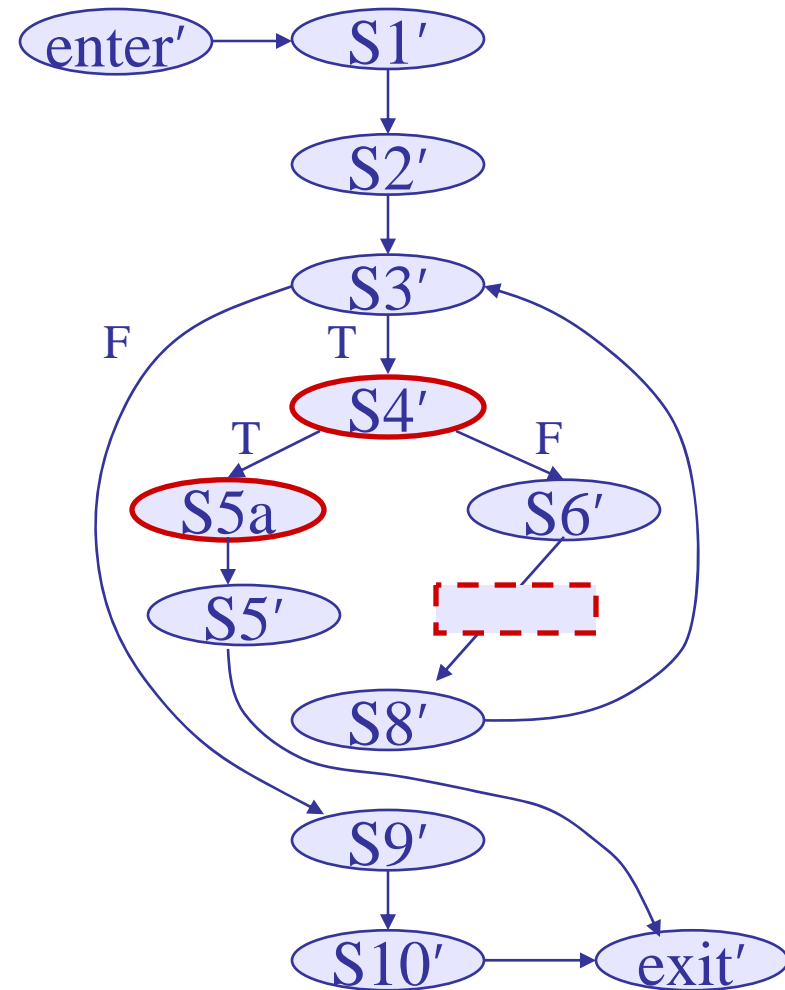
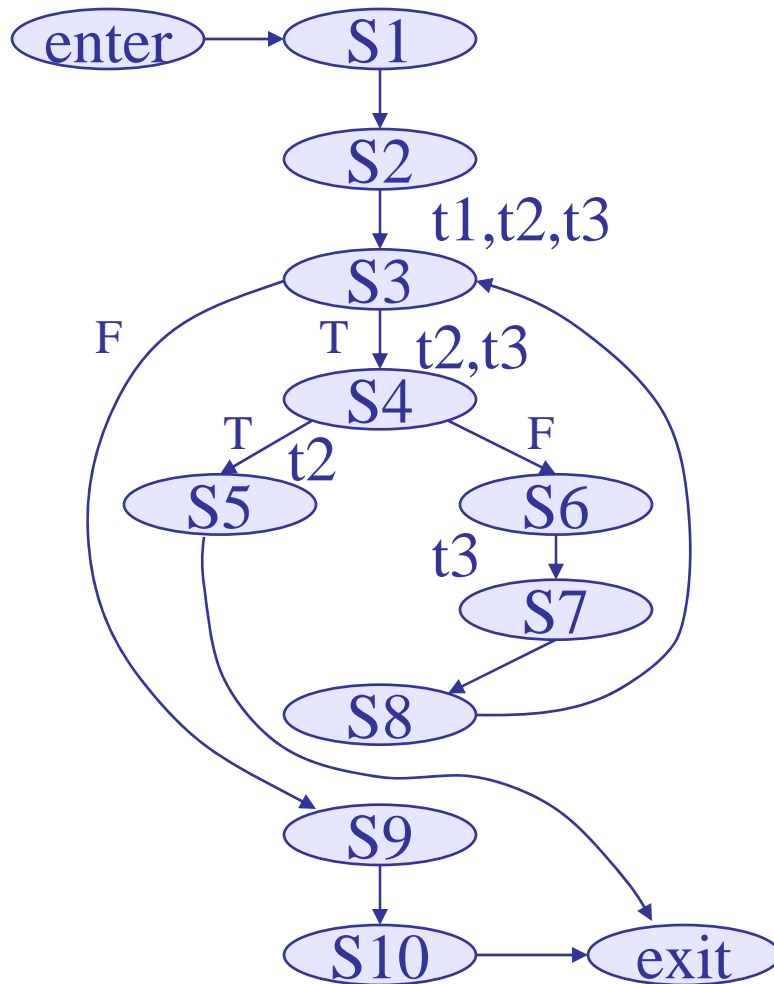
## Procedure Avg

```
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S2  fread(fptr,n)
S3  while (not EOF) do
S4    if (n<0)
S5      return(error)
      else
S6      nums[count] = n
S7      count++
      endif
S8  fread(fptr,n)
      endwhile
S9  avg = mean(nums,count)
S10 return(avg)
```

## Procedure Avg'

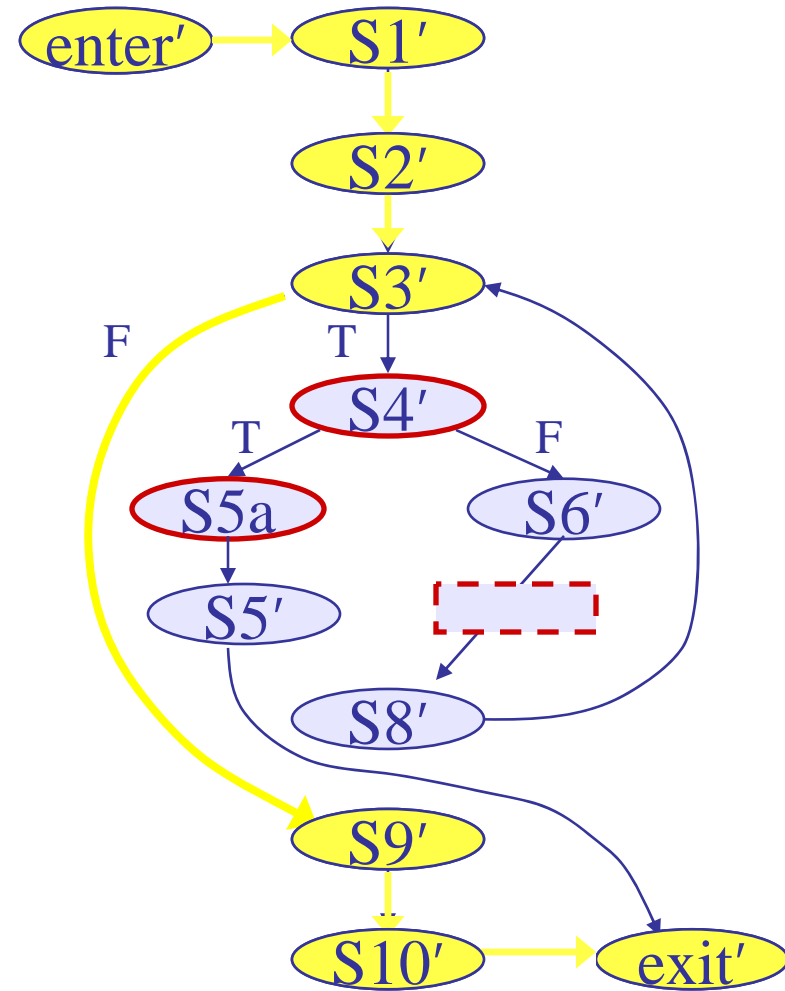
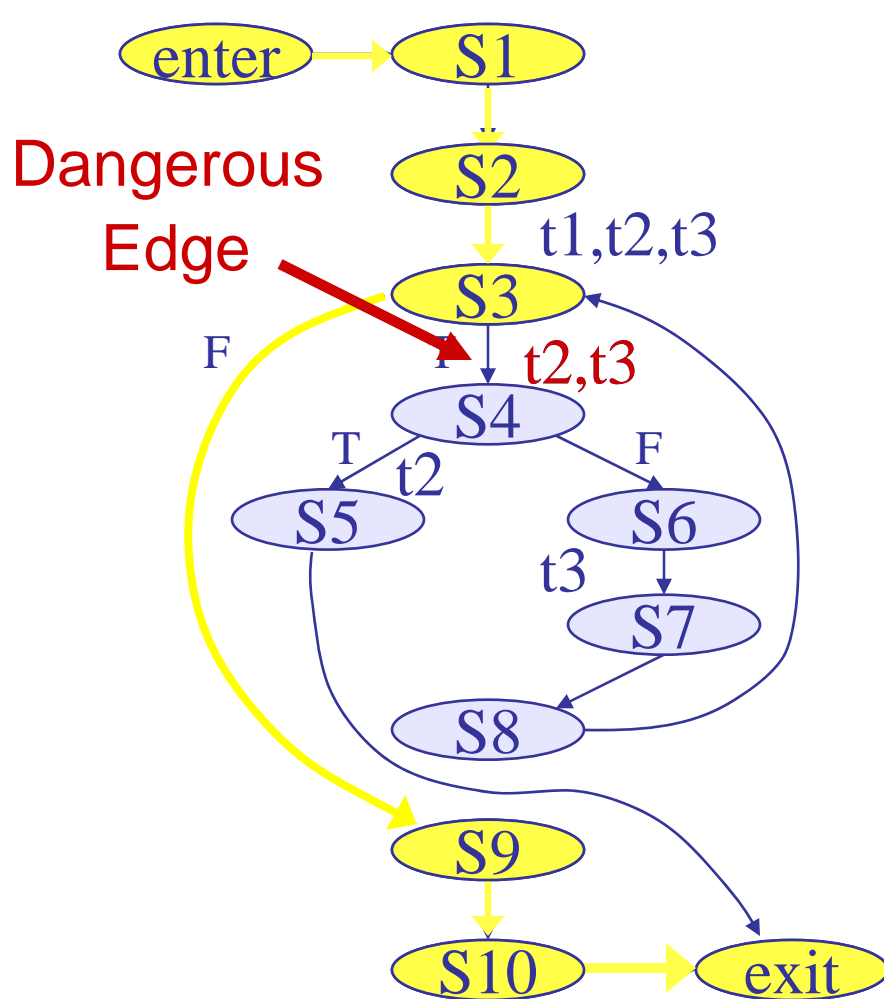
```
S1'  count = 0
S2'  fread(fptr,n)
S3'  while (not EOF) do
S4'    if (n<=0)
S5a     print("input error")
S5'     return(error)
      else
S6'     nums[count] = n
      ┌-----┐
      │endif│
S8'  fread(fptr,n)
      endwhile
S9'  avg = mean(nums,count)
S10' return(avg)
```

# CFG and Modified CFG



# Example 1

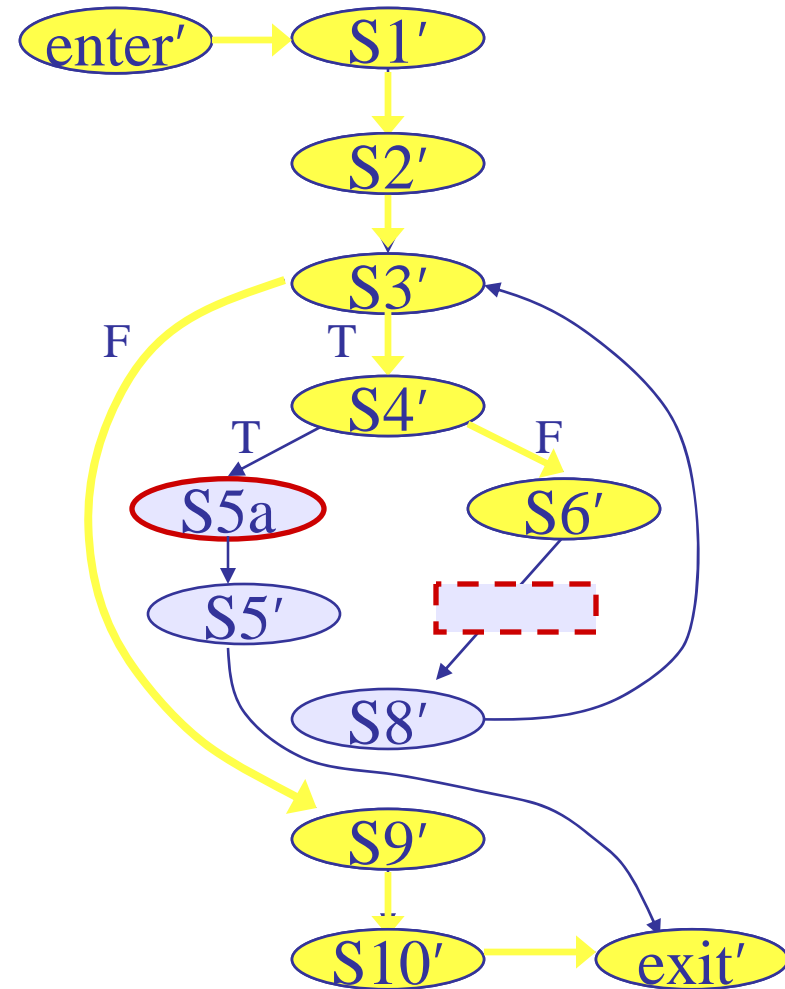
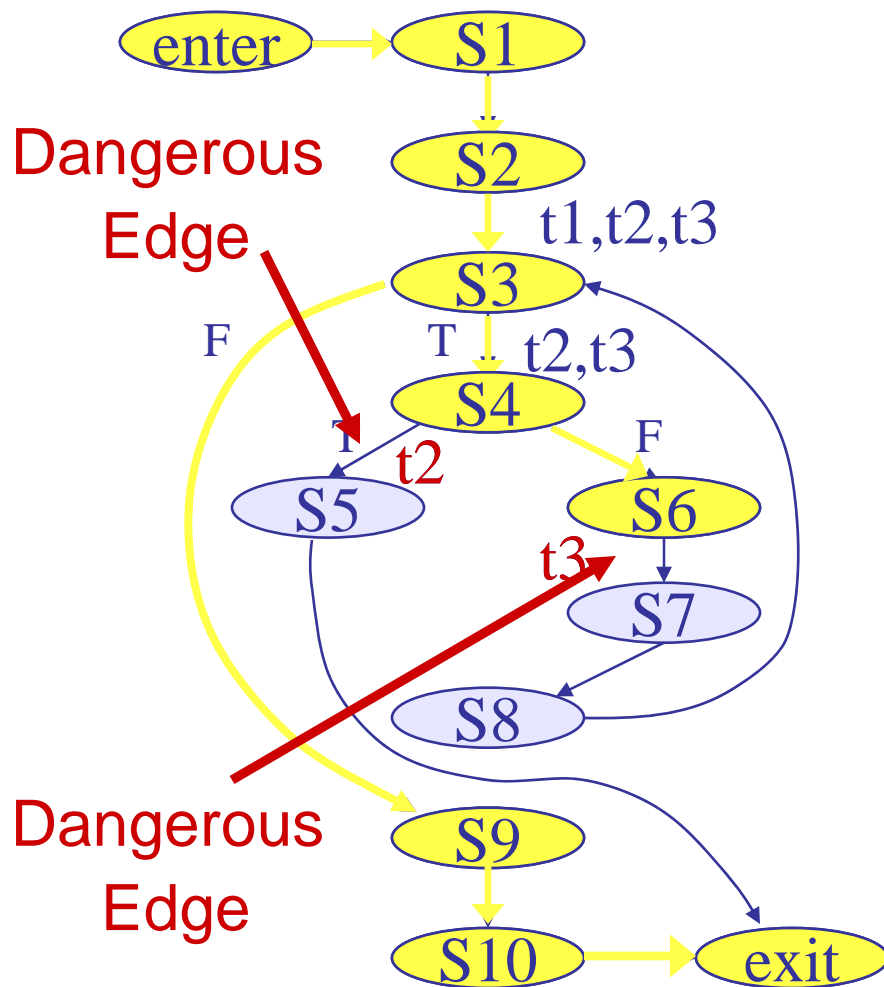
$$T' = \{t2, t3\}$$





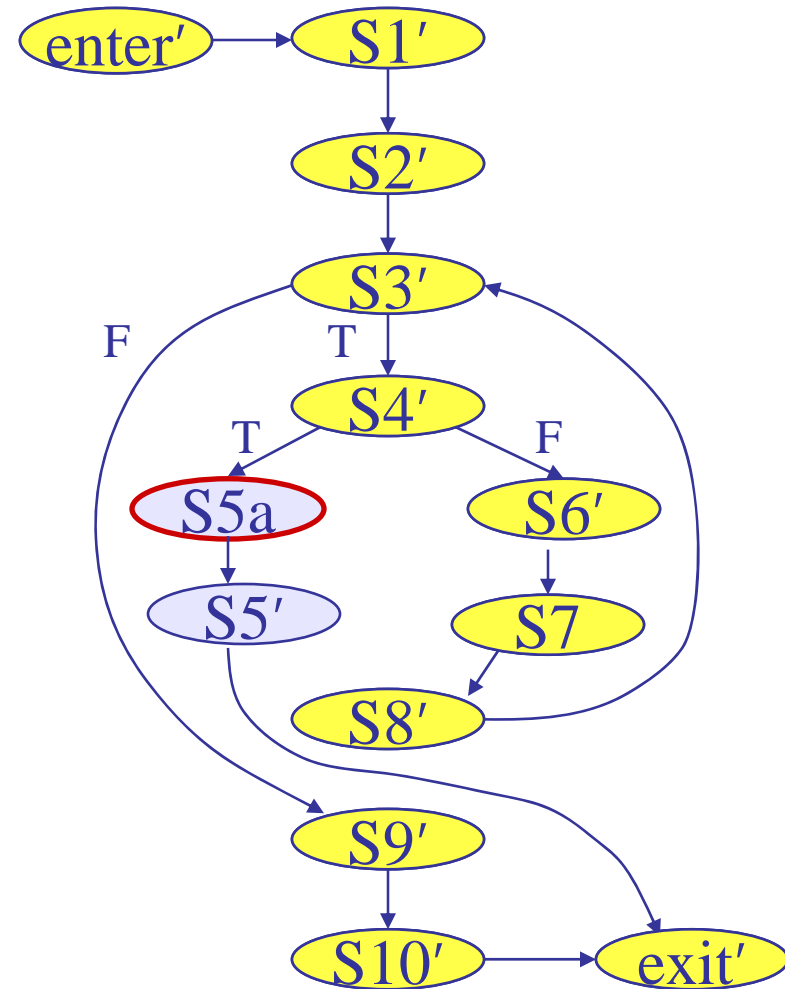
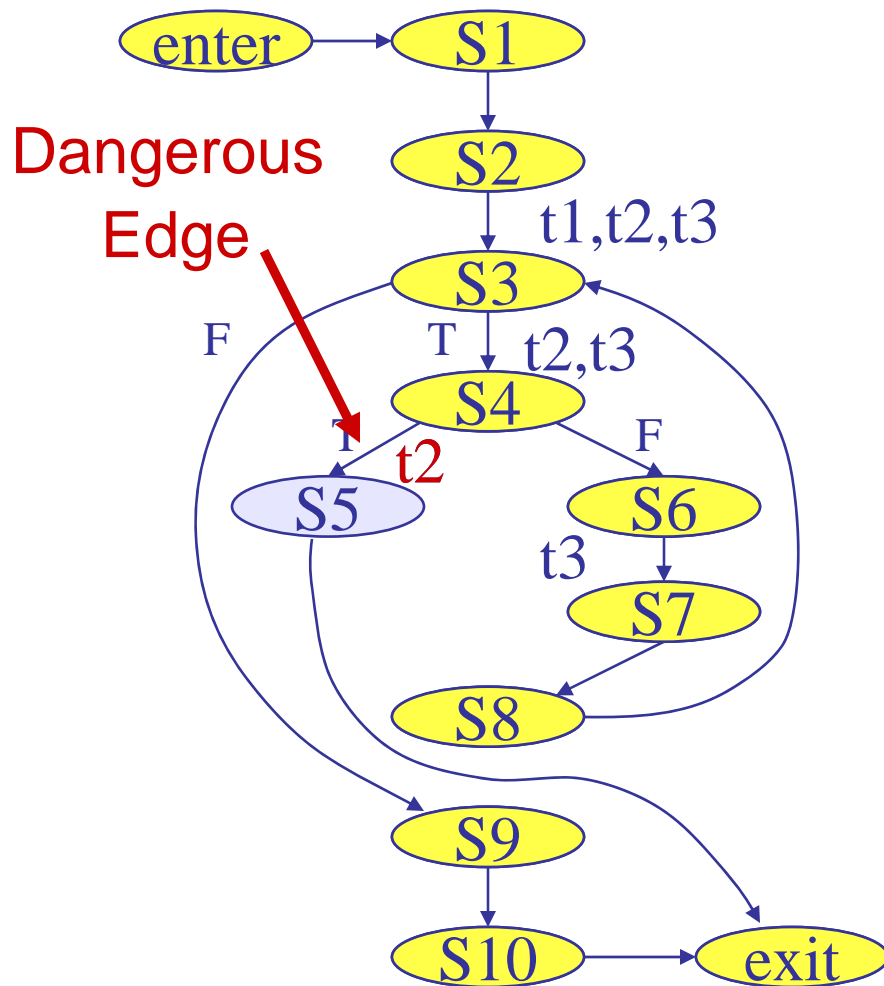
# Example 2

$$T' = \{t2, t3\}$$



# Example 3

$$T' = \{t2\}$$



## Algorithm Dejavu

Input: P, P', T     Output: T'

1. Build CFGs G and G' for P and P'
2. Compare(G.EntryNode, G'.EntryNode)
3. Compare(N, N')
4.     mark N “N'-visited”
5.     for each pair of successors C and C' of N and N'
6.     on equivalently labeled edges do
7.         if C is not marked “C'-visited”
8.             if C and C' are not lexically identical
9.                  $T' = T' \cup \text{TestsOnEdge}(N, C, T)$
10.             else
11.                 Compare(C, C')

# Interprocedural Methodologies

1. Compare all pairs of procedures
2. Create & walk interprocedural representation
3. Compare all pairs of procedures identified by configuration management system

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# Algorithm Efficiency

CFG construction: linear in program size

Graph walk (graph sizes  $n$ ,  $n'$ ; test set size  $t$ ):

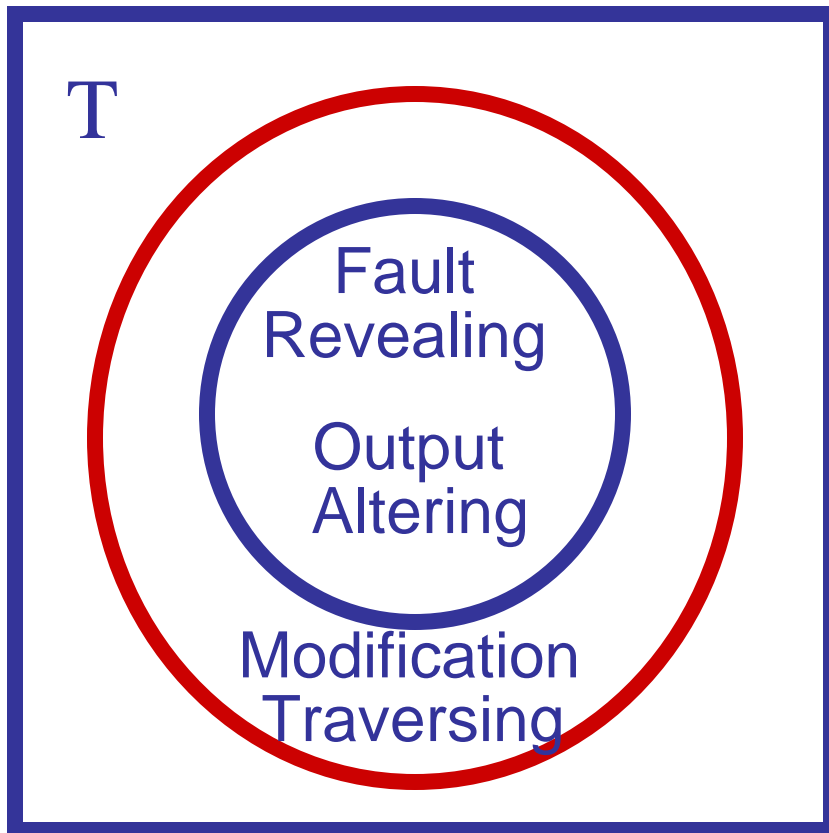
$$O ( t * n * n' )$$

(with *multiply-visited nodes*)

$$O ( t * \min(n, n') )$$

(with no *multiply-visited nodes*)

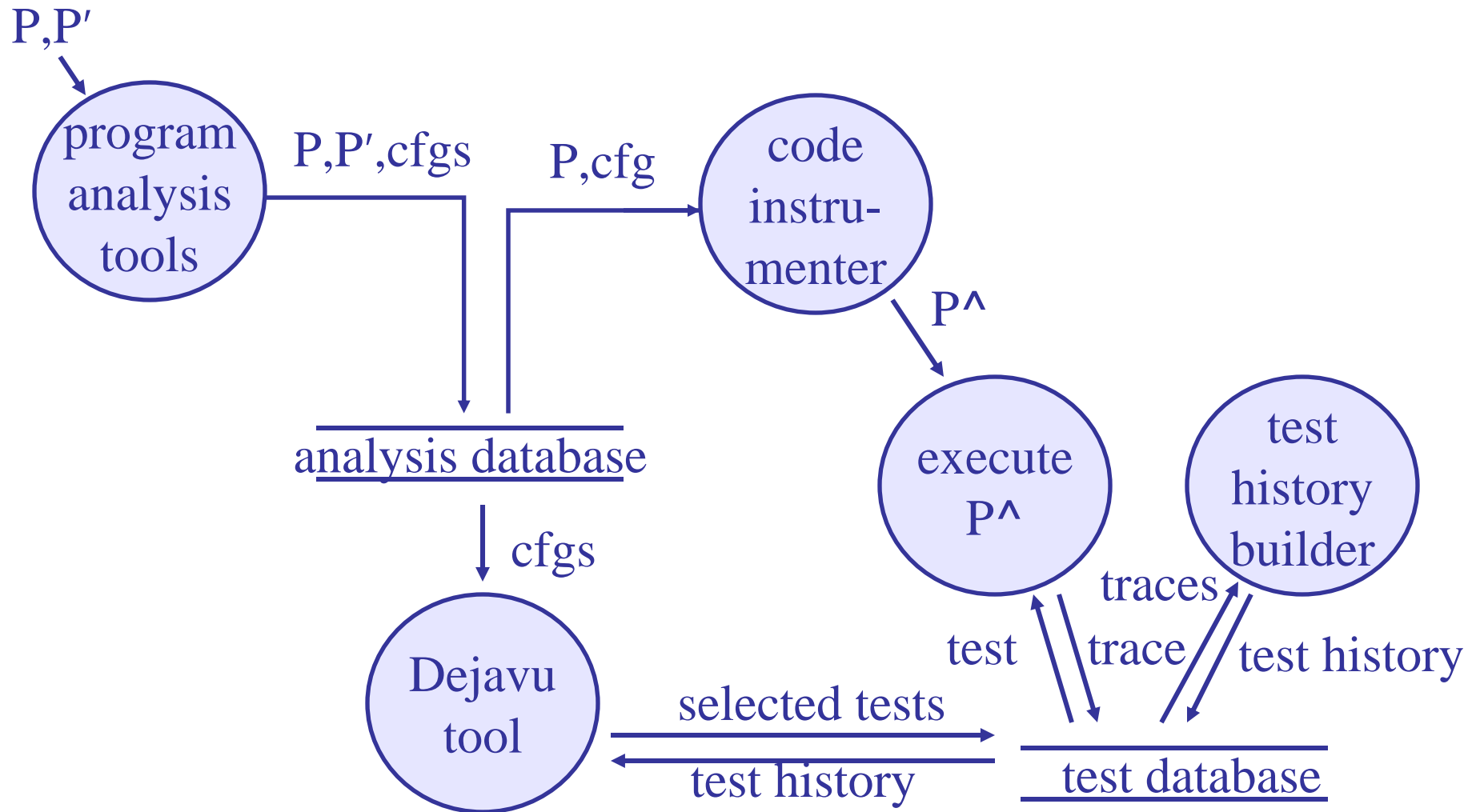
# Precision and Safety



Conditions:

1. P was correct for all tests in T
2. T contains no obsolete tests
3. Controlled regression testing

# Regression Test Selection System

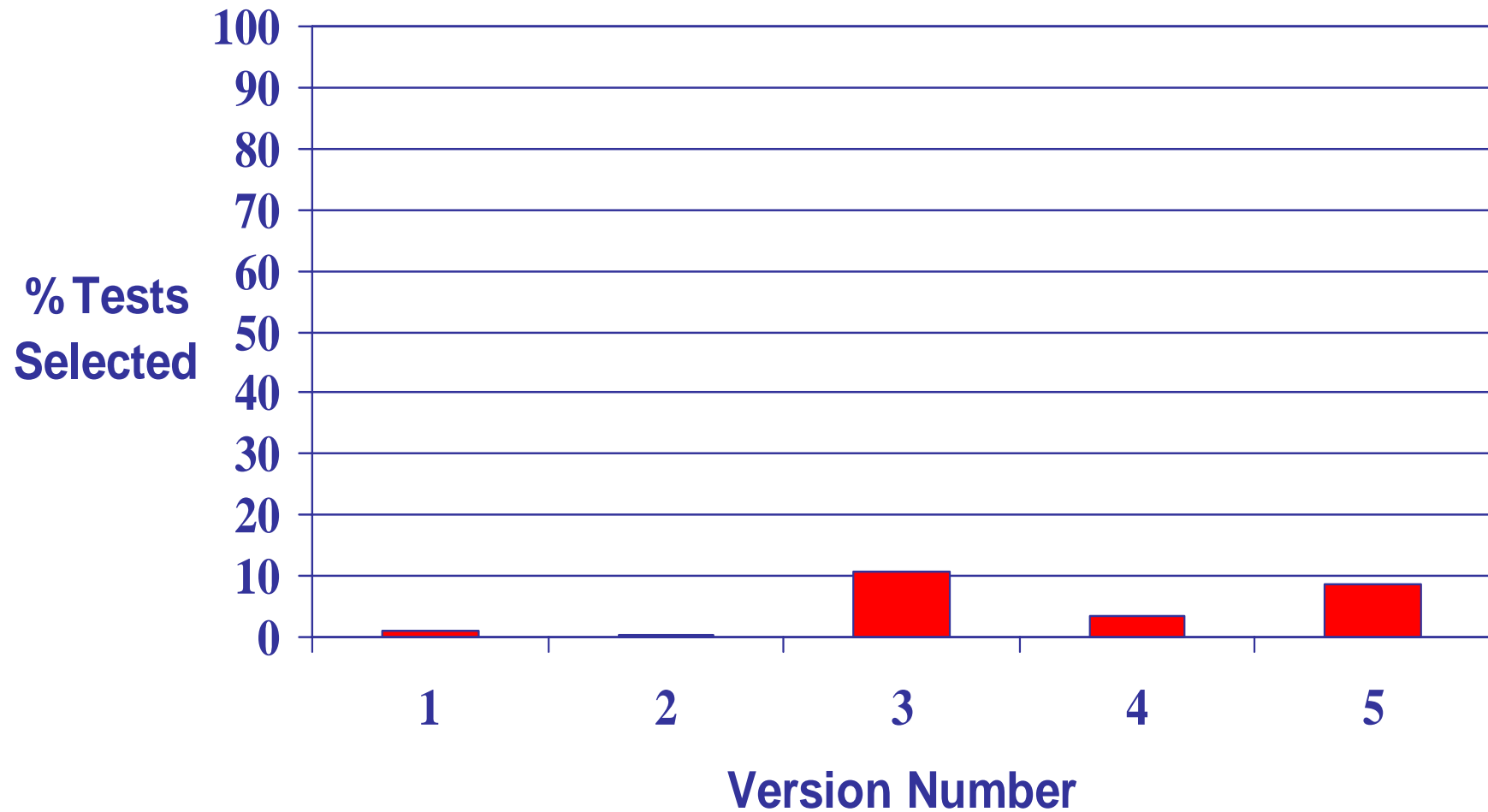




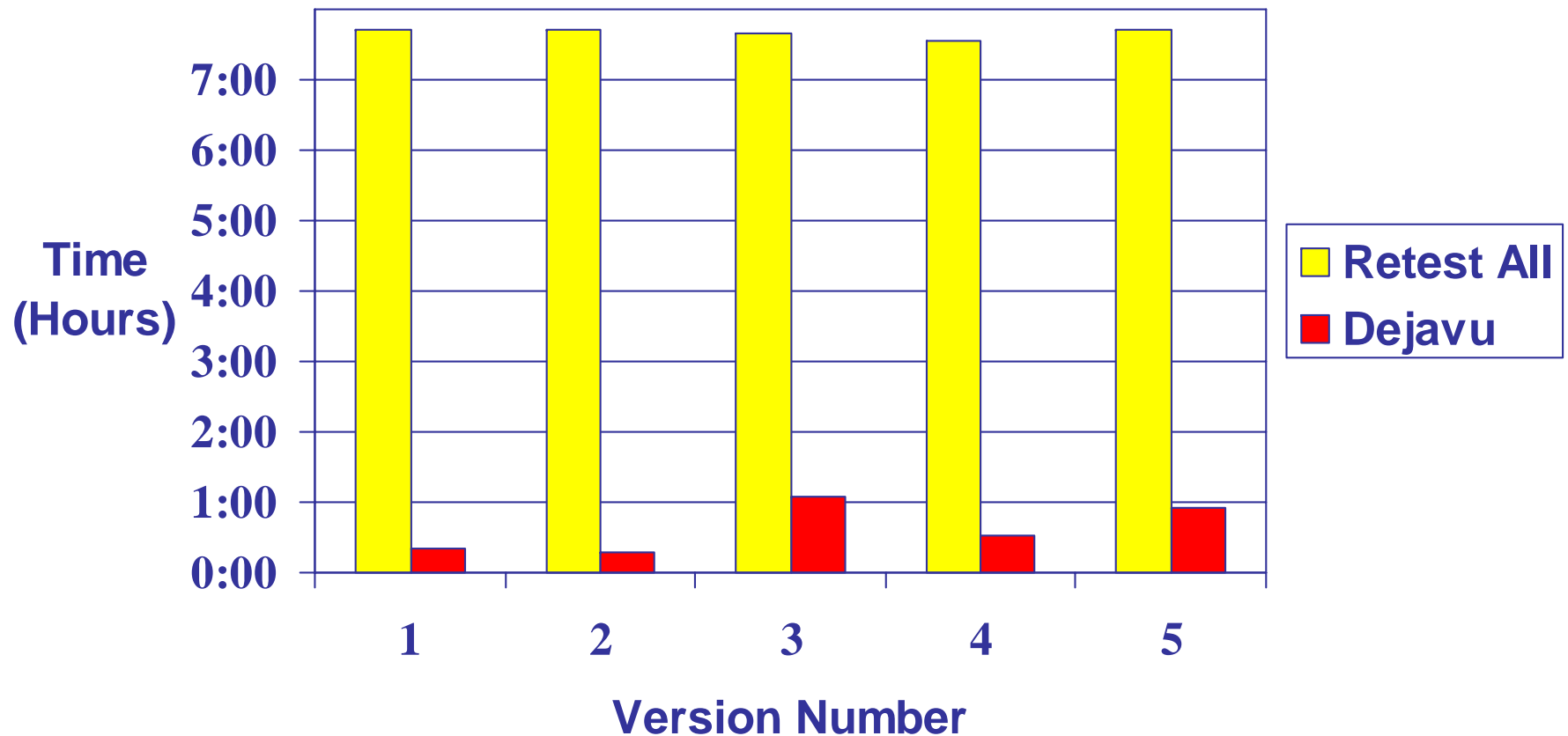
# Study 1: Empire

<b>Program</b>	<b>Procs</b>	<b>LOC</b>	<b>Vers</b>	<b>Tests</b>
server	766	49316	5	1033
<b>Version</b>		<b>Functions Modified</b>	<b>LOC Modified</b>	
1		3	114	
2		2	55	
3		11	726	
4		11	62	
5		42	221	

# Study 1: Test Selection Percentages



# Study 1: Cost Effectiveness

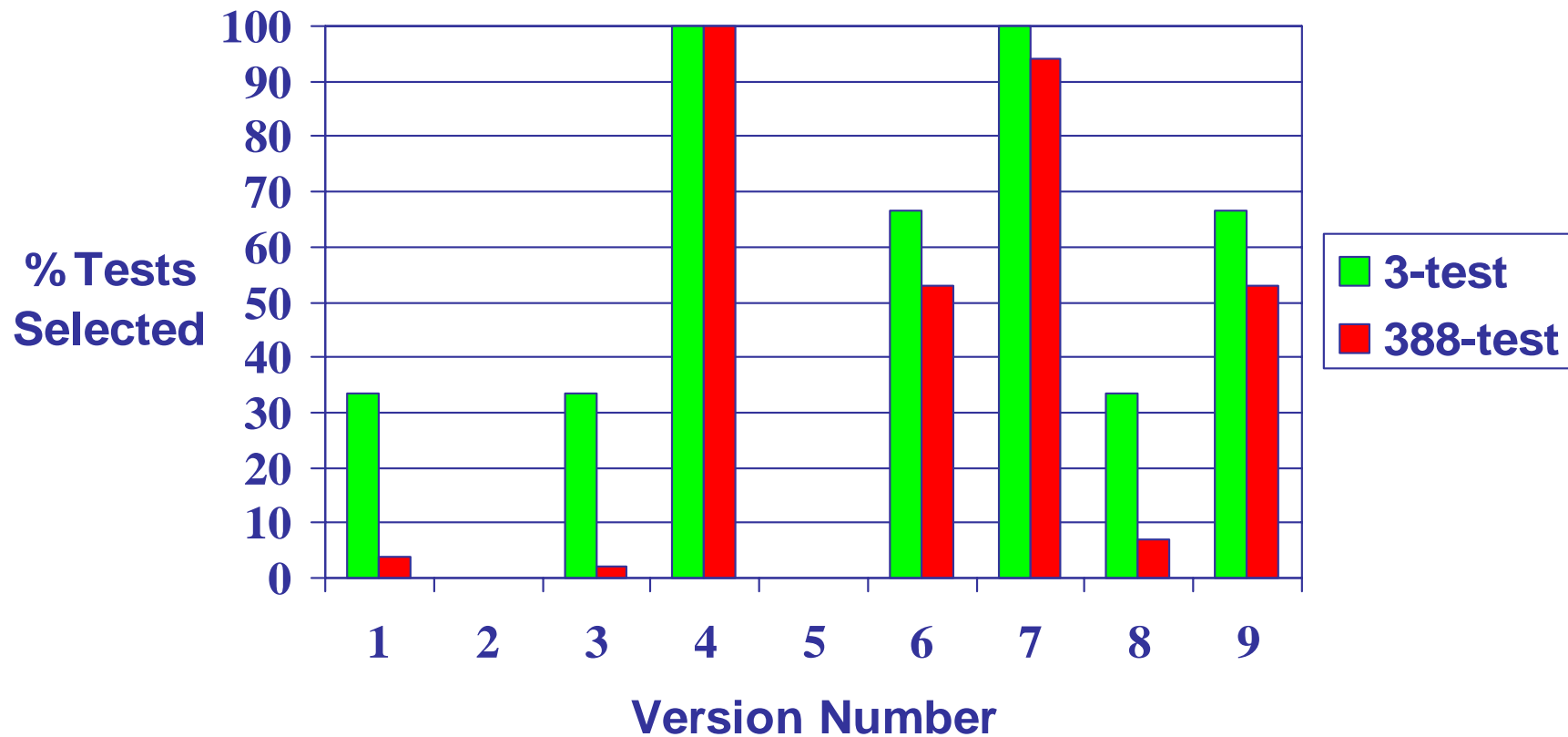


# Study 2: Windows NT Calculator

<b>Program</b>	<b>Funcs</b>	<b>LOCs</b>	<b>Vers</b>	<b>Tests</b>
calculator	27	2145	9	3/388

<b>Version</b>	<b>Functions Modified</b>	<b>LOCs Modified</b>
1	1	1
2	2	12
3	1	1
4	12	264
5	1	3
6	3	4
7	3	245
8	2	15
9	2	44

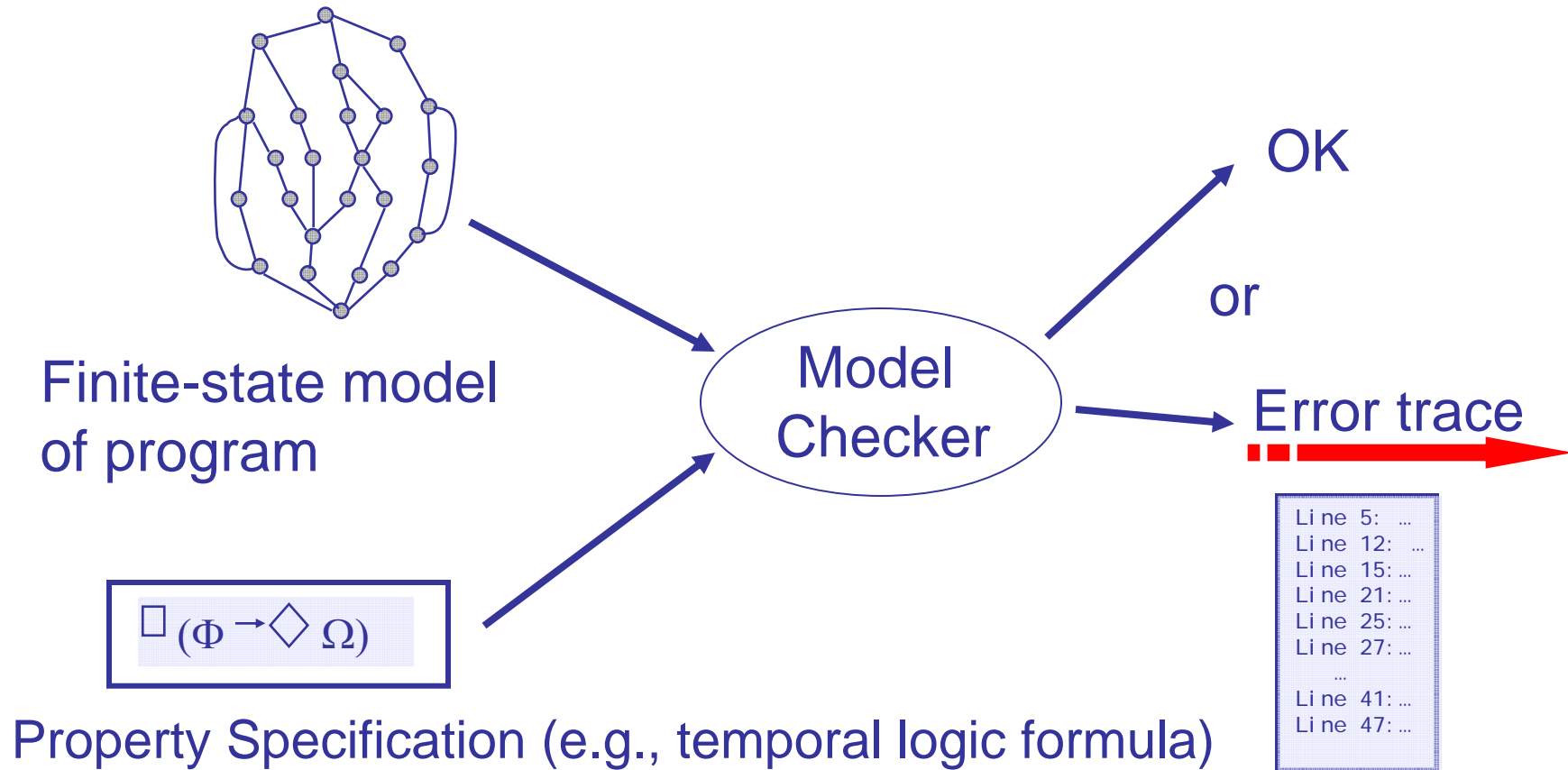
# Study 2: Test Selection Percentages



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  - Analytical and empirical evaluation
- **Regression model checking**
  - Algorithm
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# Model Checking







# Why use Model Checking?

- Automatically check, e.g.,
  - invariants, safety & liveness properties
  - absence of dead-lock and live-lock,
  - complex event sequencing properties,

“Between the key being inserted and the key being removed, the ignition can be activated at most twice.”

- In contrast to testing, model checking gives complete coverage by exhaustively exploring all paths in a system

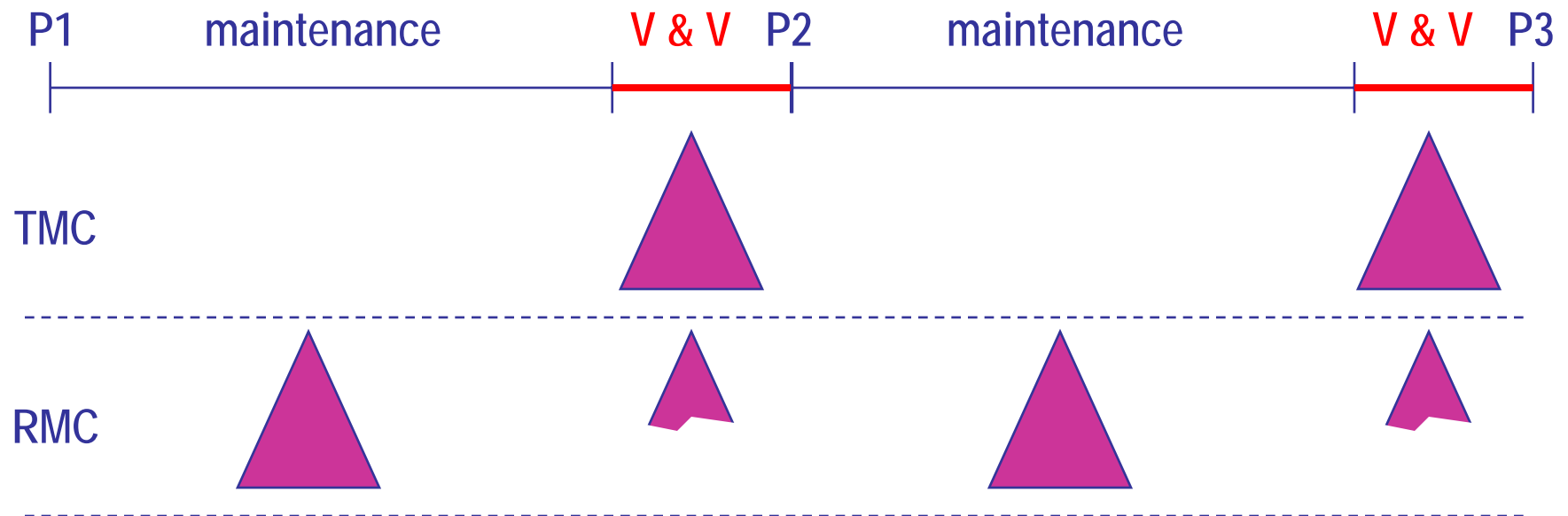
# What Makes Model Checking Difficult to Apply in Practice?

- Model construction
- Property specification
- State explosion
- Output interpretation

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- Model construction
- Property specification
- State explosion
- Output interpretation
- **System evolution**

# Regression Model Checking



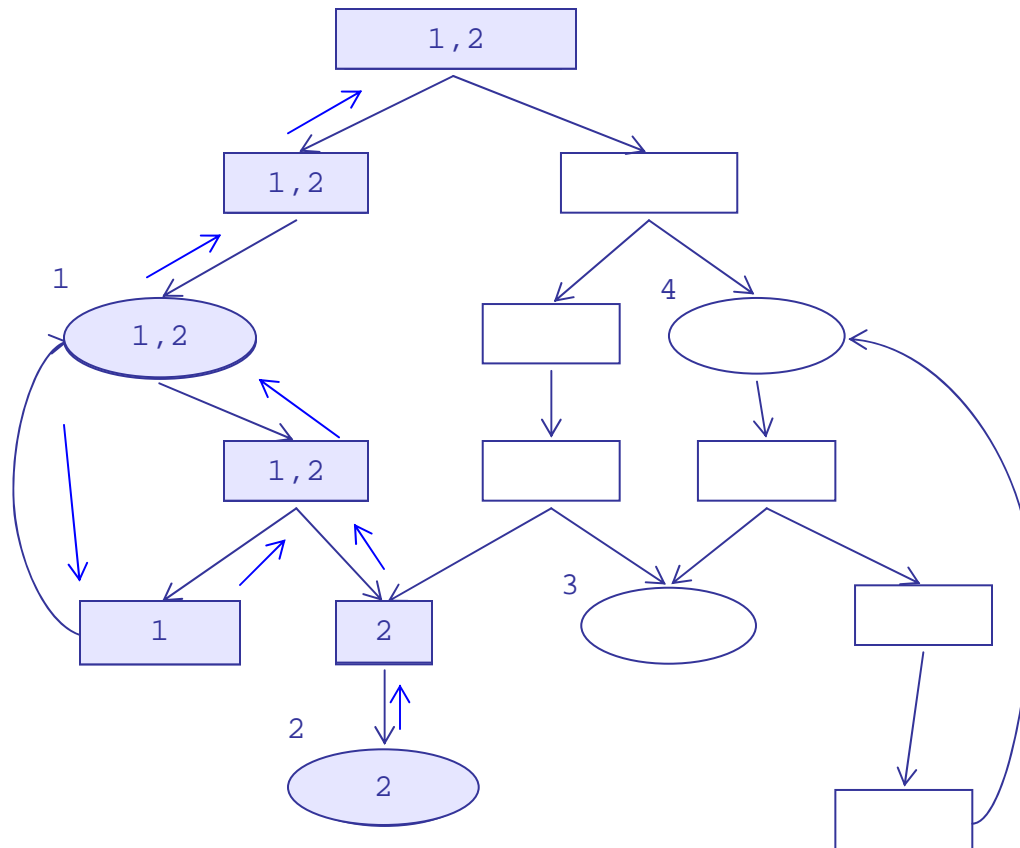
# Overview of Presentation

- Testing evolving software
- Regression test selection
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  - Analytical and empirical evaluation
- Regression model checking
  - Approach
  - Empirical results
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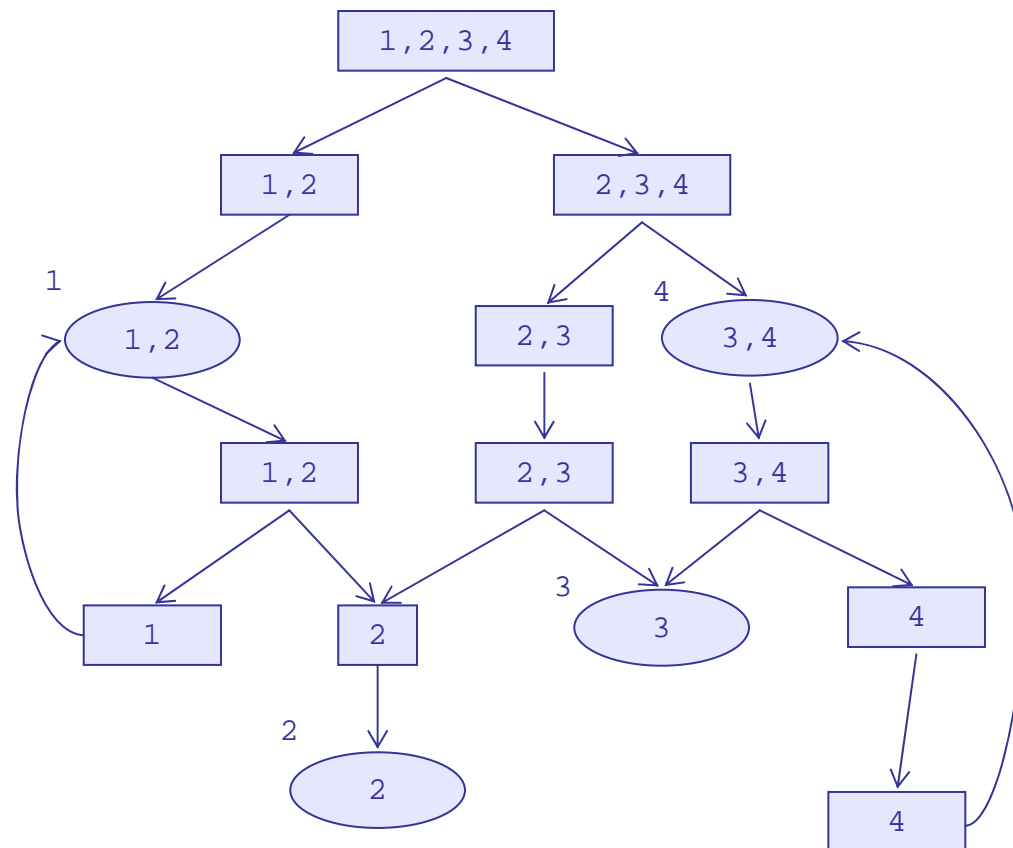
# Regression Model Checking (RMC) Process

- 1) A *recording phase* of RMC (**recordingRMC**) gathers data while model checking  $P$  in the preliminary period
- 2) Dejavu computes the dangerous elements with respect to  $P$  and  $P'$
- 3) A *pruning phase* of RMC (**pruningRMC**) determines which sub-state spaces in  $P'$  are safe and prunes them in the critical period, performing model checking only where needed
- 4) RMC preserves property checking relative to a traditional model check

# RecordingRMC

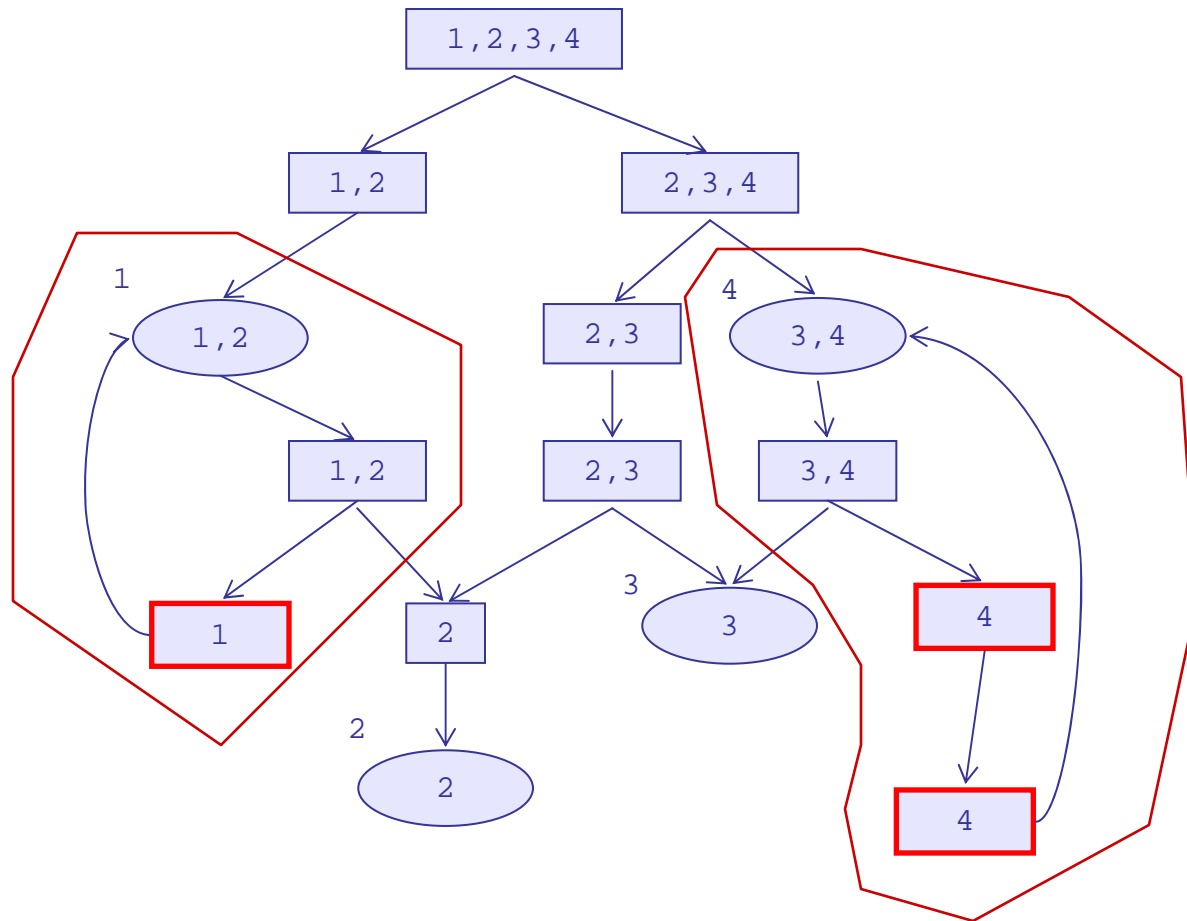


# RecordingRMC

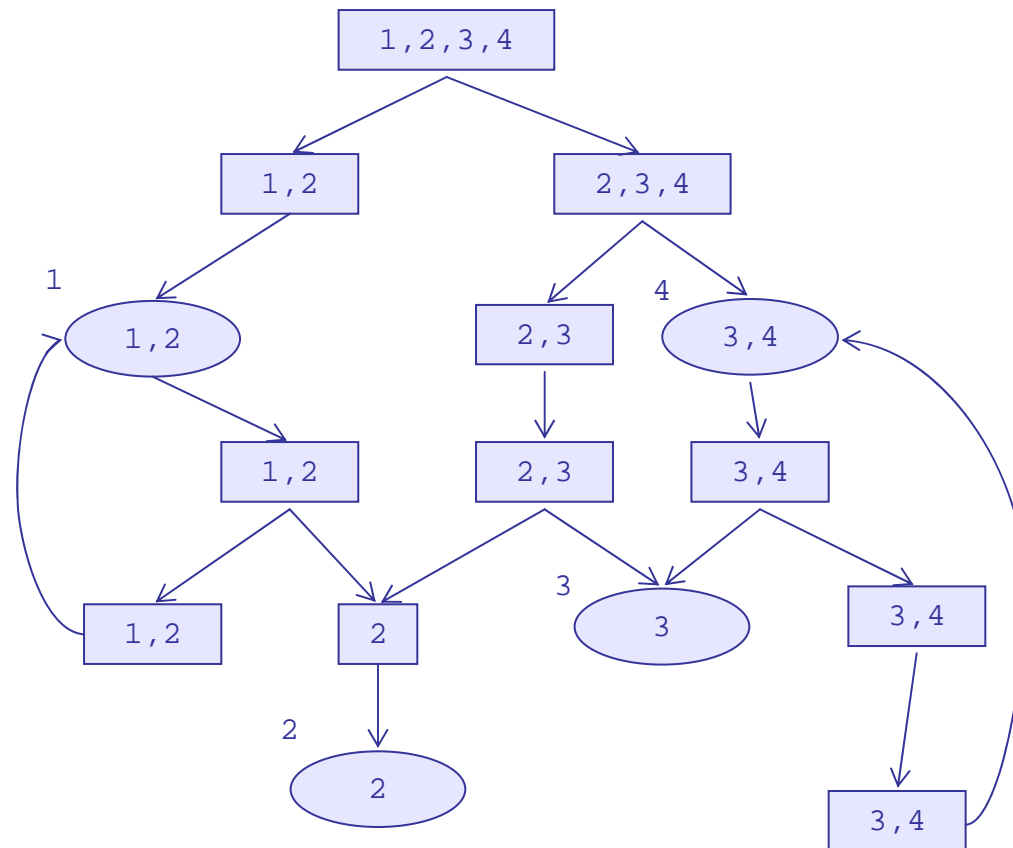




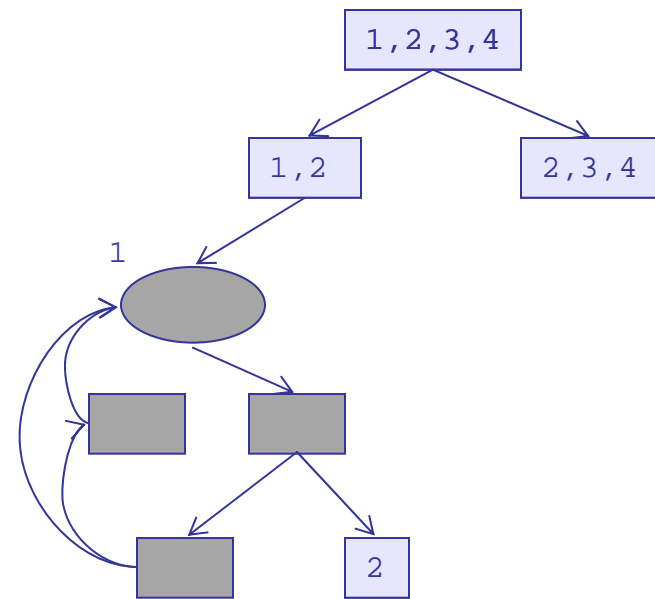
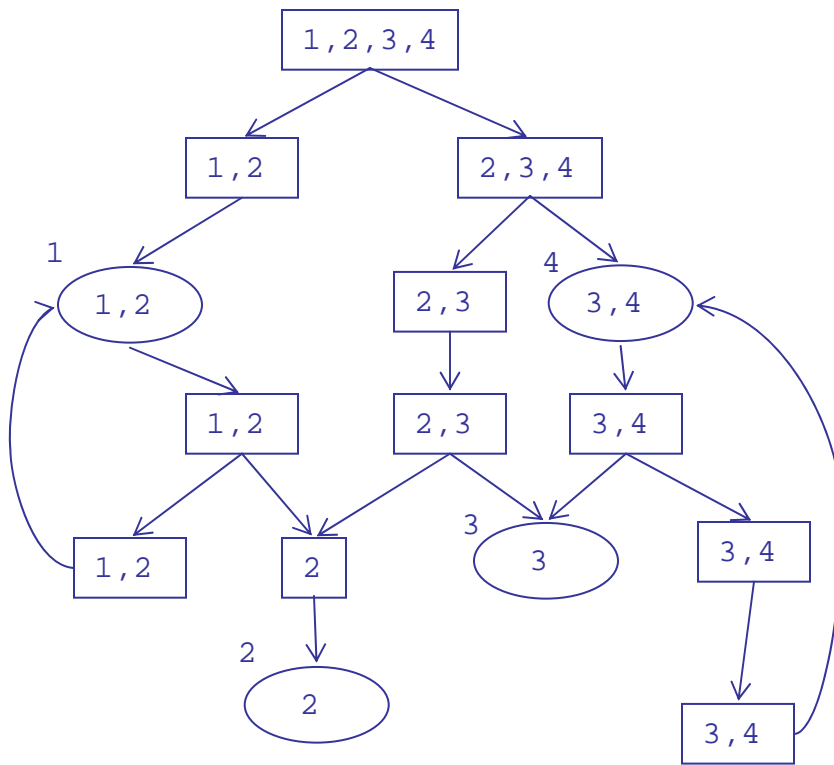
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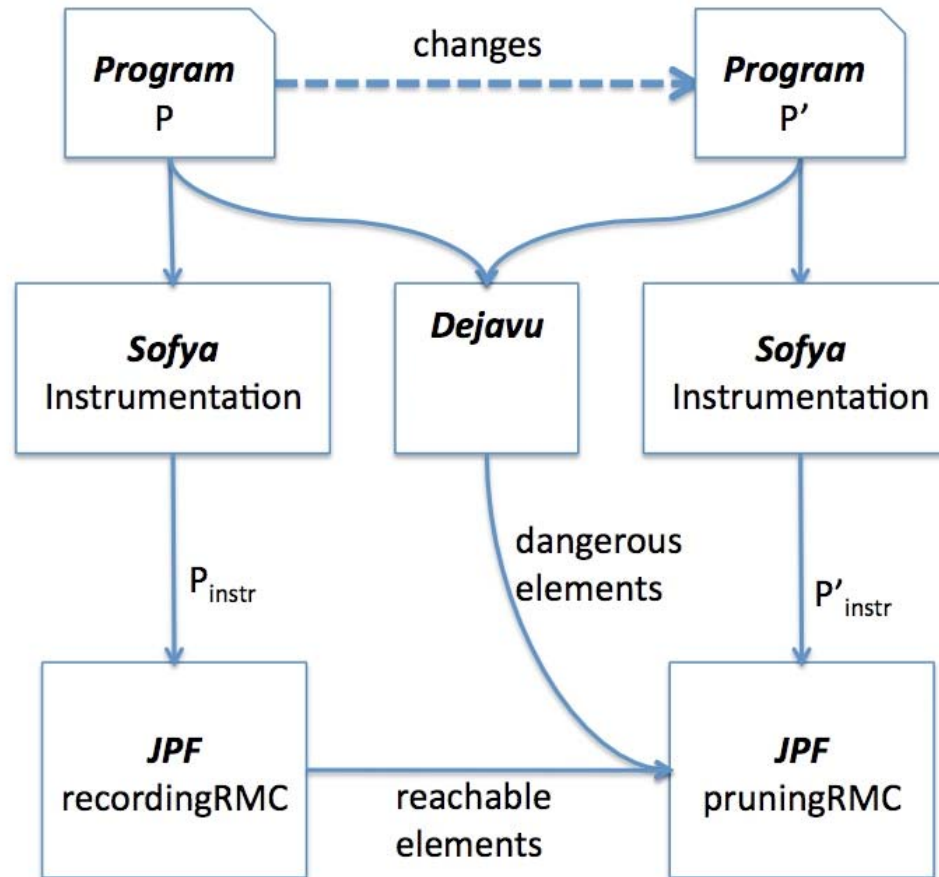


# PruningRMC



**Dangerous elements = {1}**

# RMC Implementation



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# Research Question

RQ1: How does RMC compare in the critical period to TMC

RQ2: How does RMC compare overall to TMC

# Objects

<i>Object</i>	<i>Error</i>	<i>Thd</i>	<i>Cls</i>	<i>Meth</i>	<i>LOC</i>	<i>Vers</i>
Daisy	AssertionViolation	3	21	106	744	13
Elevator	ArrayException	4	12	96	934	29
Alarmclock	NullPtrException	3	6	20	125	13
RaxExtended	AssertionViolation	6	11	23	127	9
ReplicatedWorkers	Deadlock	6	14	50	304	25

# Variables

- Independent variables:
  - RMC and TMC (default mode of JPF)



# Variables

- Independent variables:
  - RMC and TMC (default mode of JPF)
- Dependent variables:
  - execution time ( $ET_{TMC}$ ,  $ET_{RMC}$ )
  - memory usage ( $MU_{TMC}$ ,  $MU_{RMC}$ )

# Obtaining Versions - Choices

1. Actual versions
2. Versions created by people with enough related experience to modify the program
3. Versions created by simulating changes at beginnings of methods

# Threats to Validity

- External Validity
  - representativeness of object programs
  - representativeness of versions
- Internal Validity
  - faults in implementation of algorithms
  - faults in tools
- Construct Validity
  - metrics we have chosen

# Time / **Memory** Cost Ratios

Program	TMC / RMC-Cri	TMC / RMC
Daisy	5.0 <b>3.0</b>	0.97 <b>1.09</b>
Elevator	43.4 <b>1.6</b>	1.57 <b>0.36</b>
AlarmClock	1.2 <b>1.3</b>	0.99 <b>1.14</b>
RaxExtnded	79.6 <b>2.1</b>	1.56 <b>0.75</b>
ReplWorkers	43.5 <b>1.0</b>	1.47 <b>0.24</b>

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RMC-Cri consistently faster than TMC; in three cases substantially

TMC uses between 1.1 and 3.0 times more memory than RMC-Cri

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	2.1	0.75
ReplWorkers	43.5	1.47
	1.0	0.24

RMC is faster than TMC in 3 of 5 cases, and not much slower in 2 others

RMC uses more memory than TMC in 3 of 5 cases, and a bit less in 2 others

# Summary

- In the critical period, RMC requires less time than TMC, and less memory
- Savings increase with costs of analysis
- Overall, RMC can also provide savings in time compared to TMC, but it does this through increased memory usage

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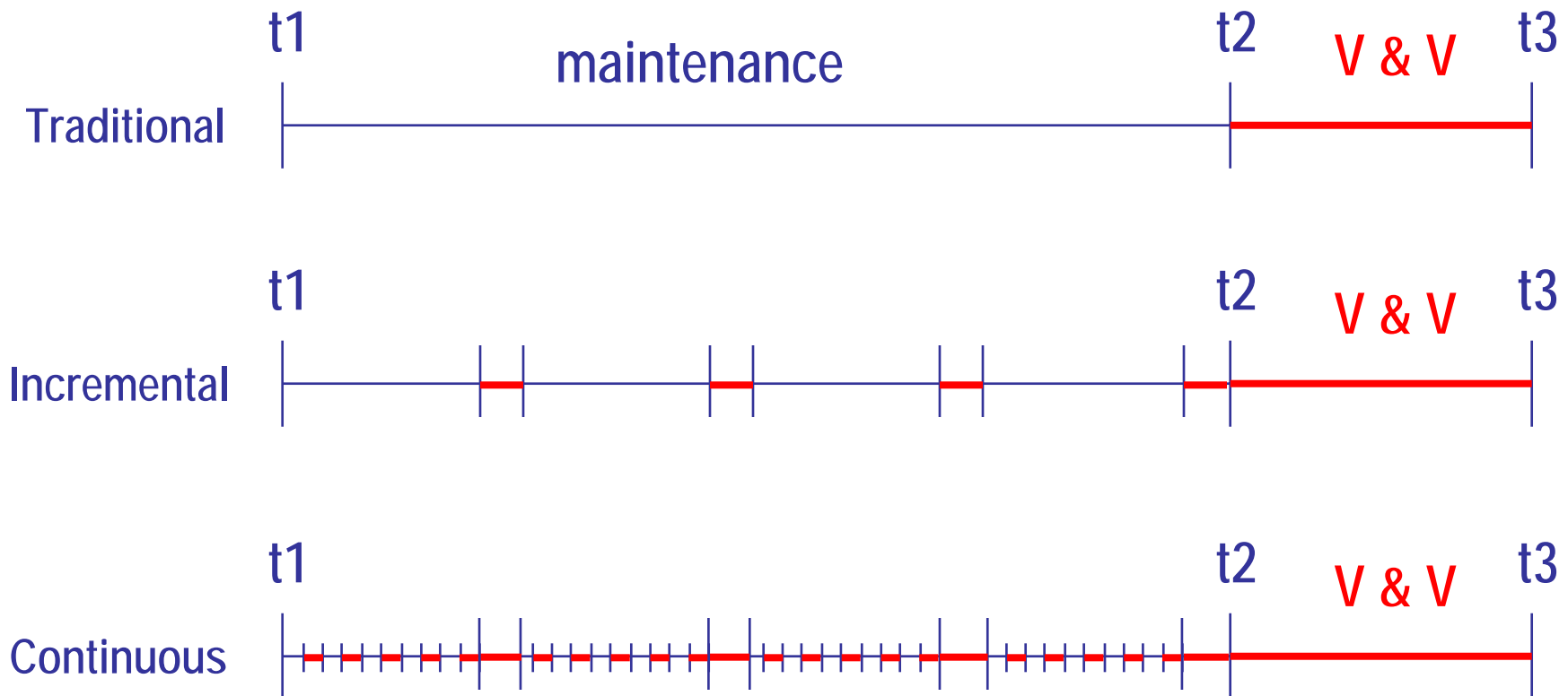
# Ongoing and Future Work: Regression Testing

- Test case prioritization and test augmentation
- Economic models for evaluating costs/benefits
- Configurable systems and product lines
- Regression testing real-time embedded systems
- Testing under different process models

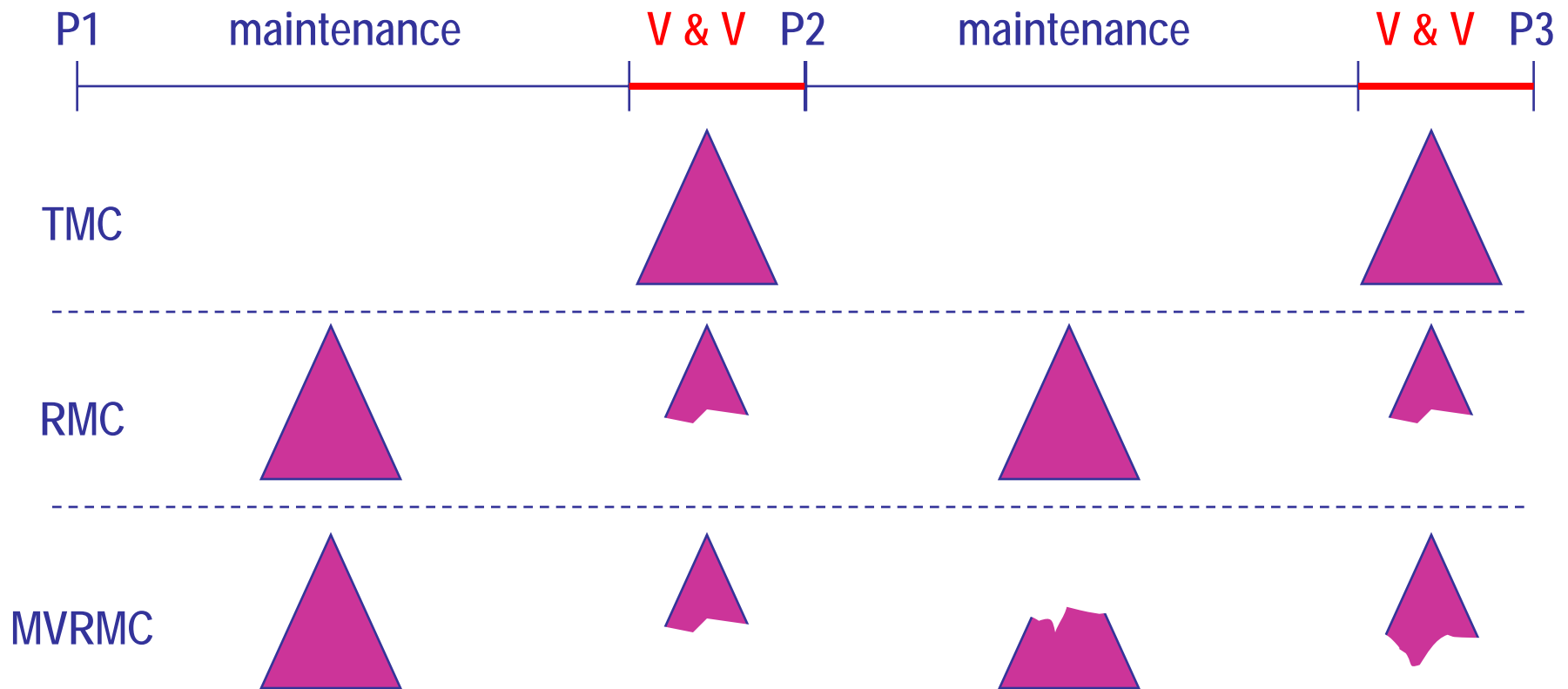
# Ongoing and Future Work: Regression Model Checking

- Reduce memory costs by using coarser coverage elements or dominator analysis
- RMC is sensitive to the structure of program states; sub-setting program states may help
- Systems move through successions of releases; RMC ignores this, a multi-version RMC approach could be more cost-effective

# More Process Models



# Adapting to Other Processes



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# Acknowledgments

- **Sponsors:**
  - National Science Foundation
  - Boeing Commercial Airplane Group
  - Microsoft
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  - Mary Jean Harrold
  - Sarfraz Kurshid
  - Alex Orso
  - Adam Porter
  - David Rosenblum

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