

**formal
specification**

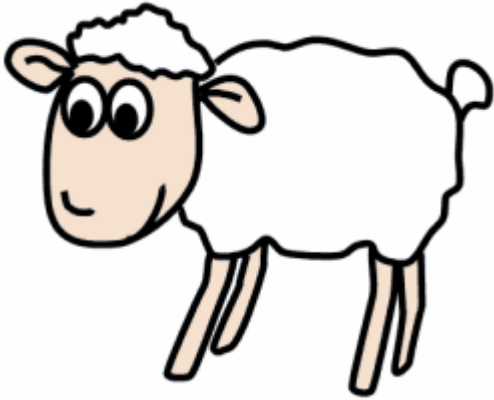
testing

Koen Lindström Claessen

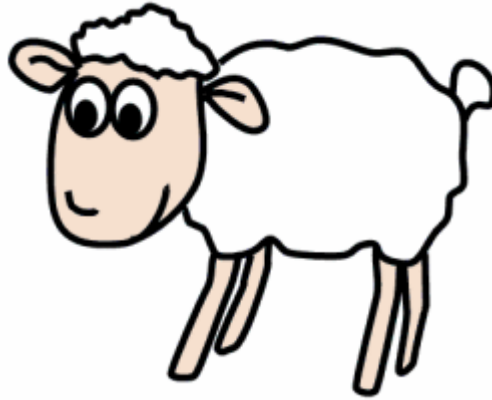
APLAS 2009, Seoul

“Experimental
Science”

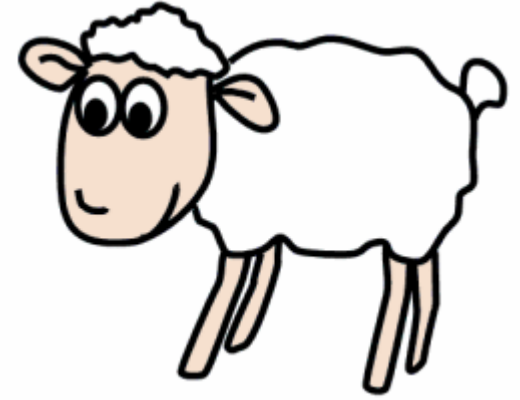
All Sheep are White?



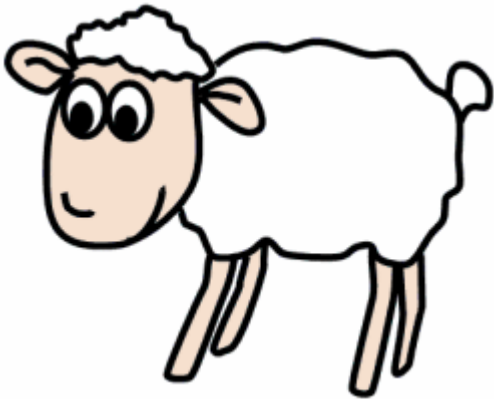
white? 



white? 




white? 



white? 



white? 

Testing

- Provide inputs to a program
- Notion of “correctness” or “what we expect”
- Repeat until failing test case...
- ...or until we get tired

which inputs?

what do we expect?

which failing case do we want to see?

what do we know then?

QuickCheck by Example

[Claessen, Hughes, 2000]

testing a compiler

- `compile` :: Program -> Code
- `run` :: Code -> Trace
- `interpret` :: Program -> Trace

- `prop_Correct p =
 run (compile p) == interpret p`

write a *property*
(in a simple logic)

“embedded language”
-- the programmer
already knows it

Running Tests

- Write a *generator* for random programs
 - arbitrary :: Gen Program
- quickCheck prop_Correct
 - automatically runs 100s of t random programs
 - reports the first failing case
 - Effective at finding bugs
 - Failing cases are large

```
if (x1 - (-3) >= x1 + x1 - x3)
  print (x3+(-2)+1+(-x1-0+x2))
  x2 = 1
else
  if (x1)
    x2 = 0+0+(-3)-(x2+x3)
    print (-2)
  fi
  x2 = x2
fi
print (x1-x1)
...
```

Shrinking

- Write a *shrinking function* for programs
 - `shrink :: Program -> [Program]`
 - structural shrinking
 - custom shrinking
- `quickCheck prop_Correct`
 - runs tests, finds failing case
 - automatically shrinks the failing case to a (local) minimum
 - effective combination!

```
if (0)
  print (1)
else
  print (0)
fi
```

Incomplete Specification

- `simplify :: Program -> Program`
- `prop_SimplifyCorrect p =
 interpret p == interpret (simplify p)`
- `prop_SimplifySimplifies p =
 size p >= size (simplify p)`

“design patterns”
-- common cases where
complete specifications
are not feasible

“Bug-Specification”

- `prop_SimplifyCorrect p =`
`interpret p == interpret (simplify p)`
- `prop_SimplifyCorrect_noWhile p =`
`noWhileLoop p ==>`
`interpret p == interpret (simplify p)`

buggy...

implication

“bug specification”
-- allows progress
in testing process

QuickCheck Components

- **Generators**

- structured data (trees, graphs, ...)
- functions
- grammar-based (automation)

- **Shrinking**

- Some automation

- **Properties**

- data structures
- stateful APIs
- concurrent APIs
- search problems, optimization problems
- ...

QuickCheck Success

- Conceived 2000 for Haskell
- Standard Haskell library
 - part of the community
- Other languages
 - Erlang (open source, commercial version)
 - C, C++, Java, C#, ML, F#, Mercury, Python, Perl, Google Go, ...
 - Use Haskell or Erlang to specify C, C++, Java, ...

Hypothesis

- **Formal specification**
 - forces people to think about their program
 - orthogonal
 - incremental (incomplete specifications)
- **Informal verification**
 - formal is too hard
 - no verification makes everything useless
 - sweet spot?

Experimental evidence?

Testing Logic

- forAll gen ($\lambda x \rightarrow p(x)$)
- $b \implies p$
- b

Testing Logic

- forAll gen ($\forall x \rightarrow p(x)$)
 - universal quantification
- $b \implies p$
 - implication
- b
 - true or false

Testing Logic

- forAll gen ($\forall x \rightarrow p(x)$)
 - universal quantification
 - generate an x , then test $p(x)$
- $b \implies p$
 - implication
 - if b , then test p ; otherwise, discard p
- b
 - true or false
 - pass or fail

Where do properties come from?

- Write what we want, logically
- “Massage” the logical formula into a testing logic formula

- $\text{forAll } x . A(x) \vee B(x)$

$\text{forAll gen } (\backslash x \rightarrow A(x) \parallel B(x))$

$\text{forAll gen } (\backslash x \rightarrow \text{not } A(x) \implies B(x))$

$\text{forAll gen } (\backslash x \rightarrow \text{not } B(x) \implies A(x))$

- Choices
 - Logically the same
 - Testing-logically different

Testing Logic

- Semantics
- Reasoning system
 - properties that are logically the same
 - testing-logical “improvement”
- What are the (expected) equalities?

forAll gen ($\lambda x \rightarrow A(x) \implies B(x)$)

vs.

forAll genA ($\lambda x \rightarrow B(x)$)

$A \implies B$

vs.

not B \implies not A

All Sheep are White?

- forAll gen ($\lambda x \rightarrow \text{Sheep}(x) \implies \text{White}(x)$)
 - forAll sheep ($\lambda x \rightarrow \text{White}(x)$)

- forAll gen ($\lambda x \rightarrow \text{not White}(x) \implies \text{not Sheep}(x)$)
 - forAll nonWhite ($\lambda x \rightarrow \text{not Sheep}(x)$)

All non-White things are not Sheep?



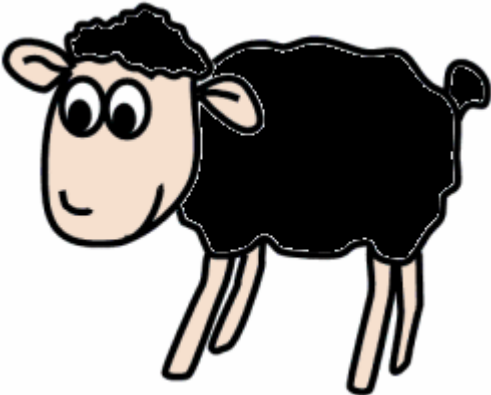
non-sheep?



non-sheep?

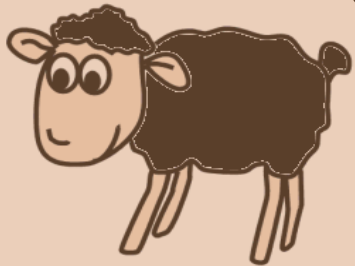


non-sheep?



Which one is better?

sheep

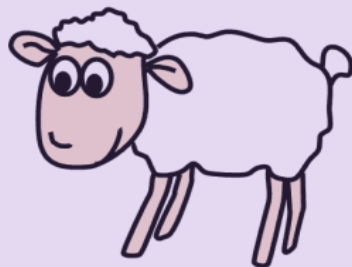


non-sheep



non-white

white



Our Intuition was Right

- **sheep:** *non-white* vs. white
- **non-white:** *sheep* vs. non-sheep
- maximize amount of failing cases
- compare #non-white non-sheep against #white sheep
- $A(x) \implies B(x) \quad \forall$
- compare #not A,

prop_Complete p =
hasSolution p \implies solve p == Yes

prop_Complete p =
solve p == No \implies hasNoSolution p

Conjunction

- Adding an operator & to the testing logic
- Seems simple enough
 - Logic: $A \& B$ means conjunction
 - Testing $A \& B$ means first testing A and then testing B
- What properties does & enjoy?
 - $A \& A \implies A$? **NO**
 - $A \implies (B \& C) \implies (A \implies B) \& (A \implies C)$? **YES**

Alternative Conjunction

$A \&' B :=$
forAll $x:\text{Bool}$. if x then A else B

- Other operator $\&'$
 - Logic: $A \&' B$ means conjunction
 - Testing $A \&' B$ means randomly choosing A or B to test
- What properties does $\&$ enjoy?
 - $A \&' A \equiv A$? **YES**
 - $A \implies (B \&' C) \equiv (A \implies B) \&' (A \implies C)$? **YES**
 - $A \&' (B \&' C) \equiv (A \&' B) \&' C$? **NO**

Testing Logic

- We are defining operators
 - logical semantics
 - testing semantics
- Investigating properties
- Trying to come up with a simple set of primitives
- For *practical* use...
- ...explaining *practical* problems
- Example-driven

Hard Specifications

- Some problems are hard to specify...
- ...without reimplementing the programs
- Examples:
 - Search problems
 - path finding
 - SAT-solver
 - Optimization problems
 - shortest path
 - best solution
 - Problems with tedious specifications

“Inductive Testing”

- Specify program in terms of smaller instances of the program
 - `prop_SatBaseTrue = sat [] == True`
 - `prop_SatBaseFalse = sat [[]] == False`
 - `prop_SatStep p x = sat p == (sat (subst x False p) || sat (subst x True p))`

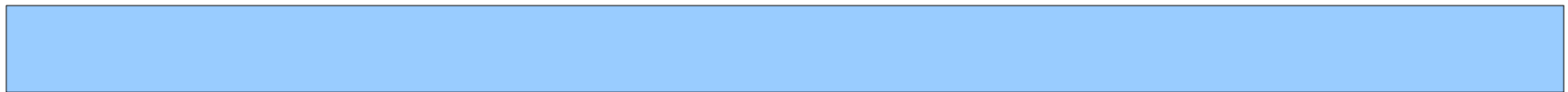
invoke the program more than once

like a naive implementation, but more efficient

Another Example

tedious specification

- `anonymize :: String -> String`
`anonymize s = ...`
- `modify :: (a -> Bool) -> ([a] -> [a]) -> [a] -> [a]`
`modify p f xs = ...`

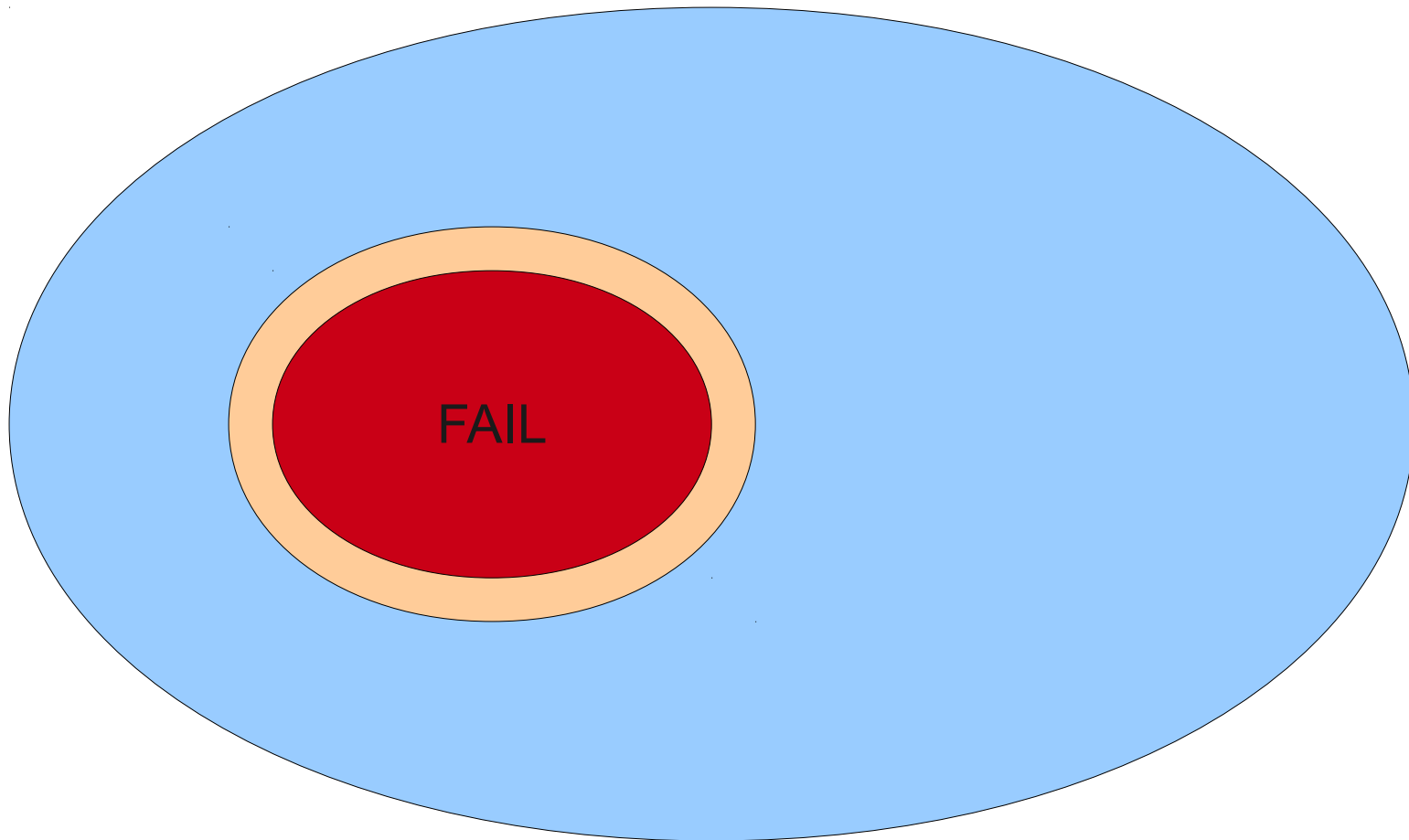


Testing Modify

- $\text{prop_ModifyEmpty } p \ f =$
 $\text{modify } p \ f \ [] == []$
- $\text{prop_ModifyBase } f \ xs =$
 $\text{not } (\text{null } xs) ==>$
 $\text{modify } (\text{`elem` } xs) \ f \ xs == f \ xs$
- $\text{prop_ModifySep } p \ f \ xs \ y \ zs =$
 $\text{not } (p \ y) ==>$
 $\text{modify } p \ f \ (xs \ ++ \ [y] \ ++ \ zs)$
 $== \text{modify } p \ f \ xs \ ++ \ [y] \ ++ \ \text{modify } p \ f \ zs$

free choice
where to split

Distribution of Failing Cases



Coming Up with Specifications

- Hard to start “from scratch”
- QuickSpec:
 - Given a (compiled) module
 - API of the module
 - Test data generators
 - automatically generates an *equational specification*

Example

- **type** Map a b
 - empty :: Map a b
 - look :: a -> Map a b -> Maybe b
 - insert :: a -> b -> Map a b -> Map a b
-
- look x empty == Nothing
 - look x (insert x a m) == Just a
 - look x (insert y a empty) == look y (insert x a empty)
 - insert x (insert x a m) == insert x a m
 - insert x a (insert y a m) == insert y a (insert x a m)

What is it good for?

- Getting started with writing properties
- Exploring a module
 - Understanding
 - Improving
- Discovering strangeness
 - A law is not as general as you think, why?
 - Often, a good implementation/design has nice properties

How does it work?

- Generate a set of terms with variables
 - depth-based, ~20.000 terms
- Use testing to refine these into equivalence classes
 - when done, ~5.000 classes
 - each of which gives rise to several equations $r == t$
- Use *pruning* to get rid of superfluous equations
 - implied by other equations
 - hardest part!
 - ~5-30 equations

complement to
QuickCheck

QuickSpec

- Joint work with Nick Smallbone, John Hughes
- Implemented for Haskell, Erlang
- Very fast (a few seconds)
- Used for
 - data structures
 - abstract data types
 - regular expression library
 - ...
- Currently working on
 - conditional equations
 - imperative programs
 - use cases

Testing Polymorphic Functions

- Suppose we are testing a property about a polymorphic function
 - `reverse :: [a] -> [a]`
 - `filter :: (a -> Bool) -> [a] -> [a]`
 - `modify :: (a -> Bool) -> ([a] -> [a]) -> [a] -> [a]`
- What type(s) should we pick to run the tests on?
- Standard QuickCheck practice suggests using `Int`
 - `prop_Reverse (xs :: [Int]) = reverse (reverse xs) == xs`

Example: reverse

- `reverse :: [a] -> [a]`
- the only source of a's in the result are the elements in the argument list
- we could symbolically represent these by their indices
- `prop_Reverse n =
 let xs = [1..n] in
 reverse (reverse xs) == xs`
- It is enough to vary the *length* of the lists!

Example: filter and map

- $\text{prop_MapFilter } p \ f \ xs =$
 $\text{filter } p \ (\text{map } f \ xs) == \text{map } f \ (\text{filter } p \ xs)$
- Here:
 - $p :: a \rightarrow \text{Bool}$
 - $f :: a \rightarrow a$
 - $xs :: [a]$
- An a can either come (1) from the list xs , (2) from applying f

filter and map

- **data** T = X Int -- from the list
| F T -- applying F
- prop_MapFilter p n =
 let xs = [X 1 .. X n]
 f = F
 in filter p (map f xs) == map f (filter p xs)
- Varying the length n, and the predicate p is enough!

General Idea

- Given a property, rewrite it into the following form:
 - $\text{prop} :: (F\ a \rightarrow a) \rightarrow (G\ a \rightarrow X) \rightarrow H\ a$
 - for polynomial functors F , G , and H
- Then, the monotype T is computed as the least fixpoint of F
- The argument of type $F\ a \rightarrow a$ (now $F\ T \rightarrow T$) is fixed to the initial algebra of F
- Based on parametricity

PolyTest

- Joint work with Jean-Philippe Bernardy, Patrik Jansson
- Also for arguments with properties
- Still investigating boundaries
- Paper at ESOP 2010

Summary

- QuickCheck
- Testing Logic
- Inductive Testing
- QuickSpec – generating specifications
- Testing polymorphic functions

